

## Loss of viability during freeze–thaw of intact and adherent human embryonic stem cells with conventional slow-cooling protocols is predominantly due to apoptosis rather than cellular necrosis

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### Summary

A major challenge in the widespread application of human embryonic stem (hES) cells in clinical therapy and basic scientific research is the development of efficient cryopreservation protocols. Conventional slow-cooling protocols utilizing standard cryoprotectant concentrations i.e. 10% (v/v) DMSO, yield extremely low survival rates of <5% as reported by previous studies. This study characterized cell death within frozen–thawed hES colonies that were cryopreserved under standard conditions. Surprisingly, our results showed that immediately after post-thaw washing, the overwhelming majority of hES cells were viable ( $\approx 98\%$ ), as assessed by the trypan blue exclusion test. However, when the freshly-thawed hES colonies were incubated within a 37 °C incubator, there was observed to be a gradual reduction in cell viability over time. The kinetics of cell death was drastically slowed-down by keeping the freshly-thawed hES colonies at 4 °C, with >90% of cells remaining viable after 90 min of incubation at 4 °C. This effect was reversible upon re-exposing the cells to physiological temperature. The vast majority of low temperature-exposed hES colonies gradually underwent cell death upon incubation for a further 90 min at 37 °C. Terminal deoxynucleotidyl transferase (TdT)-mediated dUTP nick-end-labeling (TUNEL) assay confirmed apoptosis-induced nuclear DNA fragmentation in frozen–thawed hES cells after incubation at 37 °C for 90 min. Expression of active caspase-3 enzyme, which is another prominent marker of apoptosis, was confirmed by immunocytochemical staining, while transmission electron microscopy showed typical ultrastructural features of apoptosis such as chromatin condensation and margination to the nuclear membrane. Hence, our results demonstrated that apoptosis instead of cellular necrosis, is the major mechanism of the loss of viability of cryopreserved hES cells during freeze–thawing with conventional slow-cooling protocols.

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## Introduction

In recent years, human embryonic stem (hES) cells have generated much interest over their potential applications in clinical therapy [1], basic scientific research [2, 3] and for pharmacological and cytotoxicity screening *in vitro* [4, 5]. Nevertheless, a major bottleneck impeding the widespread application of these cells is the lack of efficient cryopreservation protocols.

Unlike ordinary somatic cells and murine embryonic stem cells, hES cells do not survive well under conventional cryopreservation protocols that utilize standard cryoprotectant concentrations, i.e. 10% (v/v) dimethyl sulfoxide (DMSO), and slow-cooling within a  $-20^{\circ}\text{C}$  or  $-80^{\circ}\text{C}$  refrigerator [6–8], with typically  $<5\%$  of hES cells remaining viable, as reported by previous studies [7, 8]. Although vitrification protocols [7, 8] utilizing high cryoprotectant concentrations together with flash-freezing in liquid nitrogen have been reported to yield much higher survival rates; such protocols are tedious to perform manually and are clearly unsuited for handling bulk quantities of hES cells that would almost certainly be required for various clinical and non-clinical applications [6].

Hence, the pertinent question that arises is why hES cells do not take well to conventional cryopreservation protocols? To shed some light on the answer, this study therefore investigated cell-death within hES colonies cryopreserved under standard conditions, i.e. with 10% (v/v) DMSO and slow-cooling within a  $-80^{\circ}\text{C}$  refrigerator. It is hypothesized that cell death from cryopreservation could be induced by two major mechanisms. Firstly, cellular necrosis as a direct result of physical cryoinjury sustained through ice-crystallization or osmotic shock. Secondly, a self-induced apoptotic mechanism may be triggered within hES cells as an indirect result of mild cryoinjury that is not directly lethal to the cell (i.e. damage to gap-junctions, extracellular matrix); or physical environmental stress encountered during cryopreservation (i.e. cold shock, osmotic stress).

If hES cell death during cryopreservation is the direct result of cryoinjury sustained through ice crystallization and osmotic shock, then there would be expected to be an immediate loss of physical integrity of the cell membrane. This in turn is easily detected through trypan blue staining. There would be expected to be a high

proportion of non-viable cells being stained blue, immediately after post-thaw washing. By contrast, if a self-induced apoptotic mechanism is involved, then there would be expected to be a gradual loss of cell viability, as the apoptotic cascade would obviously need time to activate and exert its effect on the cell after freeze–thaw. Moreover, the presence of an apoptotic mechanism would be characterized by fragmentation of nuclear DNA [9], which can easily be detected with the terminal deoxynucleotidyl transferase (TdT)-mediated dUTP nick-end-labeling (TUNEL) assay [10]; as well as expression of active caspase-3 enzyme, which triggers the self-proteolytic cascade within apoptotic cells [11]. Additionally, chromatin condensation and margination to the nuclear membrane, which are the characteristic morphological features of apoptosis [12] can be viewed under transmission electron microscopy.

Hence, this study sought to determine whether there is an immediate or gradual loss of cell viability upon freeze–thawing of hES colonies cryopreserved with standard protocols. This in turn would be indicative of the major mechanism involved in hES cell death during cryopreservation, i.e. cellular necrosis or apoptosis? Additionally, this study also investigated whether cell death could somehow be abated by keeping the freshly-thawed hES cells at low temperature ( $4^{\circ}\text{C}$ ). If this is the case, then there would be stronger evidence for an apoptotic mechanism; since the various intracellular enzymes and proteins implicated in the apoptotic cascade would be expected to temporarily lose their activity at low temperature. Finally, the TUNEL assay and immunocytochemical staining for active caspase-3, together with transmission electron microscopy will be used to confirm the presence of apoptosis within frozen–thawed hES cells.

## Materials and methods

### *hES cells, media, reagents and chemicals*

The hES cells were obtained from the Wicell Research Institute Inc. (Madison, WI, USA), and were of the H1 line listed on the National Institute of Health (NIH) registry, which had received Federal approval for US government-supported research funding [13]. Unless otherwise

stated, all liquid media, serum and serum replacement were purchased from Gibco BRL Inc., (Gaithersburg, MD, USA), while all other reagents and chemicals were purchased from Sigma-Aldrich Inc., (St. Louis, MO, USA).

*Culture and propagation of hES cells in the undifferentiated state*

Undifferentiated hES cells were maintained on a feeder layer of mitomycin C-inactivated murine embryonic fibroblast feeder (MEF) cells [14, 15]. These were harvested from the CF1 inbred mouse strain purchased from Charles River Laboratories (Wilmington, MA, USA). The culture medium was DMEM/F12 supplemented with 20% (v/v) Knock-out (KO) serum replacement, 1 mM L-glutamine, 1% nonessential amino acid, 100 mM  $\beta$ -mercaptoethanol and 4 ng/ml bFGF. All cell cultures were carried out on 6-well culture dishes (Nunc Inc., Roskilde, Denmark) within a humidified 5% CO<sub>2</sub> incubator set at 37 °C. The culture media was changed daily with routine passage of hES cells on a fresh MEF layer being carried out once a week. Dissociation of hES colonies into cell clumps for serial passage was achieved through treatment with 1 mg/ml collagenase type IV, for between 3 and 5 min.

*Cryopreservation of intact and adherent hES colonies*

After 4–5 days of culture following the last serial passage, medium-sized adherent hES colonies were cryopreserved by a modified protocol from that recommended by Wicell Inc., [16]. The cryopreservation solution was similar, and consisted of DMEM/F12 medium supplemented with 10% (v/v) DMSO and 20% (v/v) defined fetal bovine serum. The major difference was that adherent hES colonies were cryopreserved intact on 6-well dishes, instead of dissociated cell-clumps (through collagenase type IV treatment) within cryovials. This was similar to the protocol utilized by a number of previous studies [17–20]. To achieve a gentler drop in temperature within the –80 °C refrigerator, the 6-well dishes were placed within an insulated styrofoam box instead of an isopropanol container. A minimal volume of cryopreservation solution (0.3 ml) was added to each well of the dish, just

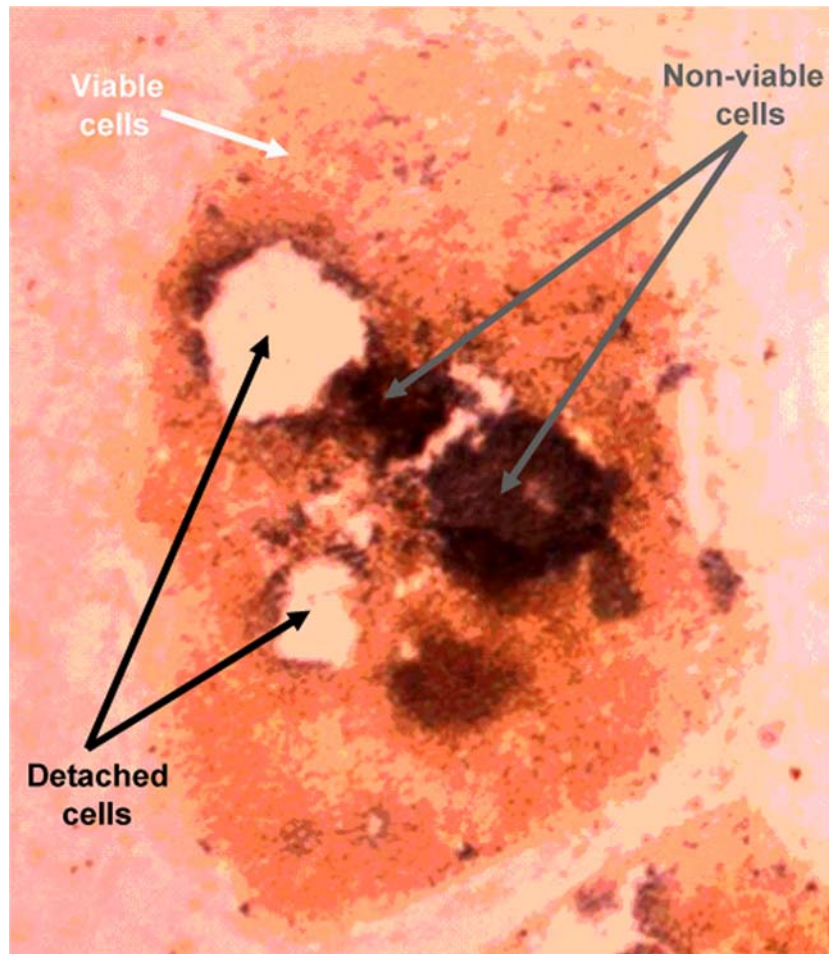
enough to cover the adherent hES colonies. This was to facilitate rapid thawing. The adherent hES colonies cryopreserved on 6-well dishes were stored in the –80 °C refrigerator for at least 1 week prior to thawing.

*Thawing and post-thaw wash of cryopreserved hES colonies*

Cryopreserved hES colonies on 6-well dishes were thawed for 1–2 mins within a 37 °C water bath. Special care was taken to ensure that no water from the bath seeped into the dish during thawing. To minimize osmotic shock to the cells, the thawed cryopreservation solution (0.3 ml) within each well was gradually diluted by dropwise addition of 2 ml of hES culture medium. After that, each well was consecutively washed twice with 2 ml of hES culture media. Depending on the particular experiment, the hES media used for post-thaw wash was either kept at 4 °C or 37 °C prior to use.

*Effects of temperature on the viability and detachment of frozen–thawed hES colonies*

The freshly-thawed hES colonies were placed either in a 37 °C incubator, or the 4 °C chiller compartment of a refrigerator. At different time points (up to 90 mins duration), the viability and detachment of the frozen–thawed hES colonies were assessed by trypan blue staining and observation under bright-field microscopy. Additionally, bright-field images without trypan blue staining were also taken over a time-course of 90 min (Figure 4). All digital images were taken within 5 min of trypan blue staining. Viable cells within the hES colony remained unstained, while non-viable cells were clearly stained blue (Figure 1). Within some of the frozen–thawed hES colonies, some cells began to detach over time, leaving empty patches within the colony (Figure 1). The detached cells were collected by centrifugation, stained with trypan blue and observed under a hemocytometer with bright-field microscopy (Figure 2). It was found that virtually all detached cells were non-viable, as indicated by positive staining (Figure 2). Hence, it can be reasonably assumed that detached areas within hES colonies represented only non-viable cells.



*Figure 1.* Non-viable cells within frozen–thawed hES colonies are positively stained by trypan blue (grey arrows), while viable cells remain unstained (white arrows). Some of the non-viable cells have detached from the hES colony (black arrows). The image was captured under bright-field microscopy at 40 $\times$  magnification.

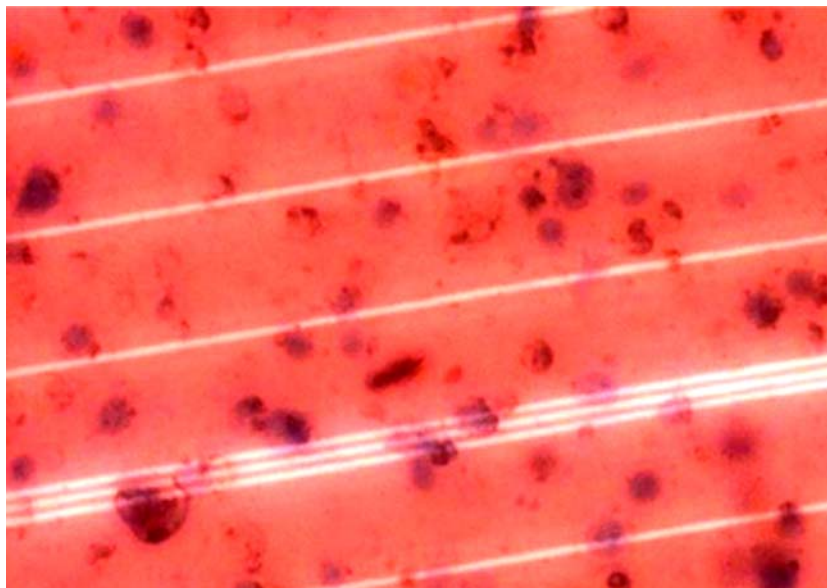
*Assessment of viability and degree of detachment within trypan blue-stained frozen–thawed hES colonies through area analysis*

The viability and degree of detachment within trypan blue-stained frozen-thawed hES colonies were determined by area analysis, using ImageTool software (University of Texas Health Science Center, San Antonio, TX, USA). It was assumed that the hES cells were uniformly distributed throughout each colony. The total area occupied by each individual hES colony was first determined, followed by the blue-stained and detached areas within the same colony. This was achieved by manually tracing out the different areas (Figure 3), using the ImageTool software (University of Texas

Health Science Center, San Antonio, TX, USA). The total proportion of non-viable cells was taken to be the combined ratios of blue-stained and detached areas within each hES colony; while the proportion of viable cells was taken to be the ratio of adherent unstained areas within the colony. For each data set, between 20 and 30 trypan blue-stained frozen–thawed hES colonies were analysed in this manner.

*TUNEL assay for the detection of apoptosis-induced nuclear DNA fragmentation*

After incubating frozen–thawed hES cells at 37  $^{\circ}$ C for 90 min, the detached non-viable cells were collected by centrifugation and subjected to TUNEL



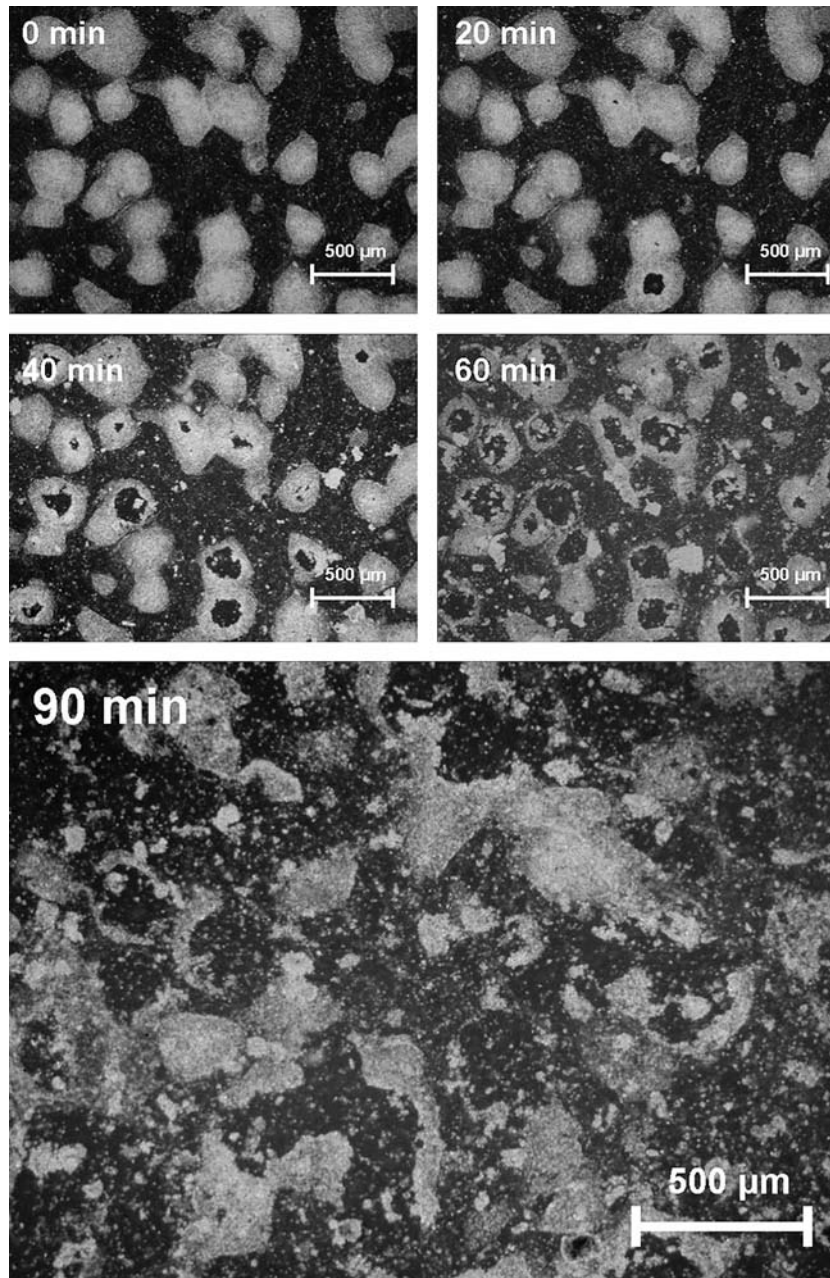
*Figure 2.* Cells that have detached from hES colonies after freeze–thawing were collected by centrifugation, stained with trypan blue, and observed under a hemocytometer with bright field microscopy at 200× magnification. Virtually all detached cells were non-viable, as indicated by positive staining.



*Figure 3.* hES colonies were stained with trypan blue, and digital images were captured under bright-field microscopy at 40× magnification. The total area of each individual hES colony was traced out (black solid line), together with the detached (black dotted line) and non-viable (white dotted line) areas.

assay to detect the presence of apoptosis-induced DNA fragmentation [9, 10]. The assay was carried out with the BD ApoAlert™ DNA fragmentation assay kit purchased from BD-Biosciences-Clontech Inc., (Mountain View, CA, USA), according to the

recommended instructions of the manufacturer. Briefly, this involves fixation of the detached non-viable hES cells on poly-L-lysine-coated sialized slides with 4% (v/v) formaldehyde/PBS at 4 °C for 25 min. Next, the slides were washed (3×) in PBS



*Figure 4.* Observations of freshly-thawed cryopreserved hES colonies under bright-field microscopy (without trypan blue staining), incubated at 37 °C over a time-course of 90 min. By the 90 min time-point, most of the cells within the hES colonies have detached.

before permeabilizing the fixed cells with prechilled 0.2% (v/v) Triton X-100/PBS for 5 min on ice. After another round of washing in PBS (3×), 100 μl of equilibration buffer (200 mM Potassium Cacodylate, 25 mM TRIS-HCl, 0.2 mM DTT, 0.25 mg/ml BSA and 2.5 mM Cobalt Chloride) were placed on

the fixed cells for 10 min. Subsequently, the equilibration buffer was pipetted off and the fixed cells were then exposed to 50 μl of reaction mix (consisting of the terminal deoxynucleotidyl transferase (TdT) enzyme with fluorescently-labelled nucleotides in equilibration buffer) for 60 min at 37 °C within a

humidified incubator. A glass cover-slip was used to spread the reaction mix evenly on the fixed cells. After that, the cover-slip was removed and the slides were washed in PBS (3×) before immediate viewing under fluorescence microscopy. The incorporation of fluorescently-labeled nucleotides within fragmented nuclear DNA was indicated by green-fluorescence at an excitation wavelength of 520 nm.

#### *Immunocytochemical staining to detect expression of active caspase-3 enzyme*

After incubating frozen–thawed hES cells at 37 °C for 90 min, the detached non-viable cells were collected by centrifugation and fixed on poly-L-lysine-coated sialized slides with 4% (v/v) formaldehyde/PBS at 4 °C for 25 min. Next, the slides were washed (3×) in PBS before permeabilizing the fixed cells with prechilled 0.2% (v/v) Triton X-100/PBS for 5 min on ice. After another round of washing in PBS (3×), the slides were exposed to blocking buffer (1% BSA in PBS) for 30 min at 37 °C, so as to minimize non-specific adsorption of the antibodies. Subsequently, the slides were washed again with PBS (3×), and the fixed cells were incubated with primary antibodies against active caspase-3 enzyme (Rabbit IgG, 10 µg/ml, Becton-Dickinson Biosciences Inc., San Jose, CA, USA; Cat No: 550480) for 1 h at room temperature. A glass cover-slip was used to spread the primary antibody solution evenly on the fixed cells. Subsequently, the cover-slip was removed and the slides were washed in PBS (3×) before incubation with the secondary antibody (FITC-conjugated goat anti-rabbit IgG, 10 µg/ml, Sigma-Aldrich Inc., St. Louis, MO, USA; Cat No: F-0382) under the same conditions. After a final wash in PBS, the slides were viewed under fluorescence microscopy under an excitation wavelength of 488 nm. Positive expression of active caspase-3 enzyme by the frozen–thawed hES cells was indicated by bright green fluorescence.

#### *Transmission electron microscopy*

After incubating frozen–thawed hES cells at 37 °C for 90 min, the detached non-viable cells were collected by centrifugation and subsequently fixed with 3% glutaraldehyde and 2% paraformaldehyde in 0.1 M cacodylate buffer for 30 min at room temperature. After osmication in 2%

osmium tetroxide, specimens were dehydrated in an ascending series of ethanol and embedded in araldite. Ultrathin sections were cut, mounted on formvar-coated copper grids, and doubly stained with uranyl acetate and lead citrate before viewing in a Phillips BioTwin CM120 transmission electron microscope (Phillips Electron Optics, Eindhoven, The Netherlands).

#### *Statistical analysis of data*

The results from each data set were expressed as mean ± standard derivations (computed from area analysis of a total of 20–30 hES colonies). Differences between data sets were assessed by ANOVA analysis, with a value of  $p < 0.05$  being considered significantly different.

## **Results**

#### *Kinetics of cell death and detachment within frozen–thawed hES colonies incubated at 37 °C and 4 °C*

Just after the post-thaw wash at the 5 min time-point, it was observed that 97–98% of hES cells were viable, as assessed by the trypan-blue exclusion test (Figure 5), irregardless of whether the cells were washed in media pre-kept at 4 °C or 37 °C. However, when the freshly-thawed hES cells were placed in a 37 °C incubator, there was observed to be a steep decrease in cell viability over time. This is evident under bright-field microscopy even without trypan blue staining, whereupon a large proportion of the cells detached from the freshly-thawed hES colonies over a time-course of 90 min (Figure 4). By the 90 min time-point, only  $27.3 \pm 10.7\%$  remained viable (Figure 5). By contrast, when the cells were kept at 4 °C, there was only a slight decrease in cell viability over the same duration, with  $90.6 \pm 4.1\%$  of cells still remaining viable after 90 min (Figure 5). At all corresponding time-points except the 5 min time-point, there were significant differences in the cell viability of hES colonies maintained at 4 °C and 37 °C ( $p < 0.01$ ).

Additionally, a vast difference in the kinetics of cell-detachment within hES colonies maintained at 4 °C and 37 °C was also observed (Figure 6). Just after the post-thaw wash at the 5 min time-point, virtually none of hES cells detached, irregardless

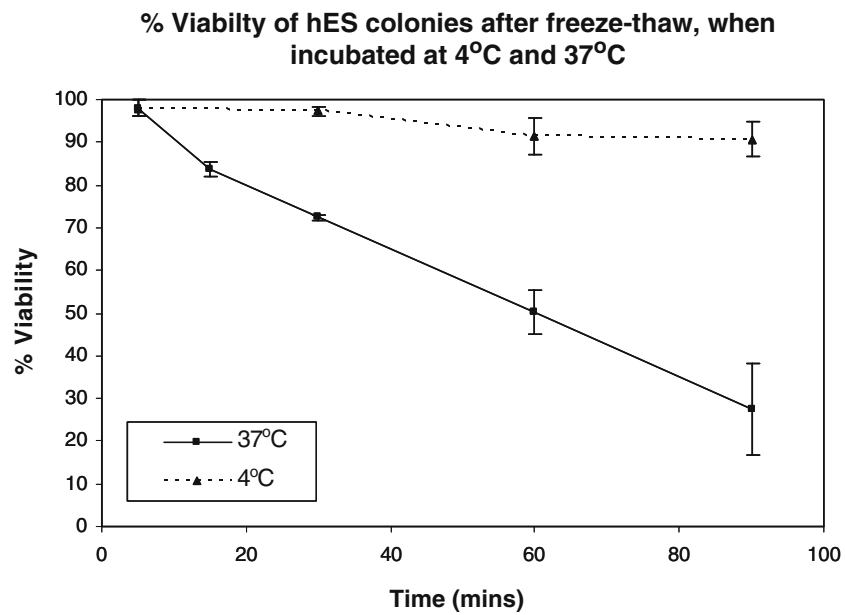


Figure 5. The percentage viability of hES colonies incubated at 4 °C and 37 °C was assessed by trypan blue staining and bright field microscopy, over a time course of 90 min.

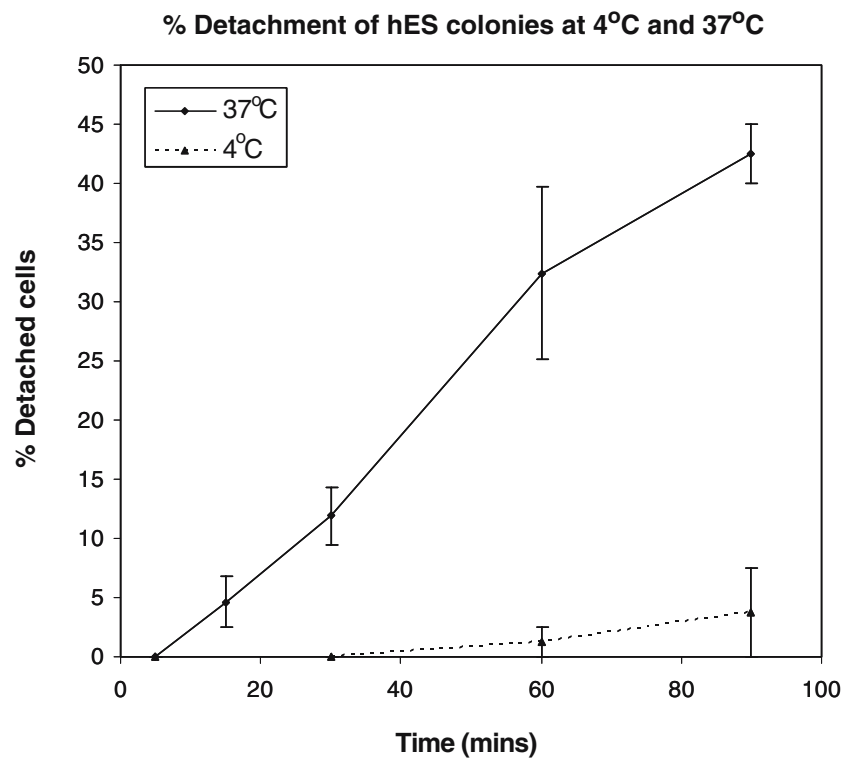


Figure 6. The percentage detachment of hES colonies incubated at 4 °C and 37 °C was assessed by bright field microscopy, over a time course of 90 min.



of whether the cells were washed in media pre-kept at 4 °C or 37 °C. However, when the freshly-thawed hES cells were placed in a 37 °C incubator, there was observed to be a steep increase in cell detachment over time. By the 90 min time-point,  $42.5 \pm 2.4\%$  of cells within hES colonies have detached (Figure 6). By contrast, when the cells were kept at 4 °C, there was only a slight increase in cell-detachment over the same duration, with  $4.1 \pm 3.0\%$  of cells being detached after 90 min (Figure 6). At all corresponding time-points except the 5 min time-point, there were significant differences in cell-detachment of hES colonies maintained at 4 °C and 37 °C ( $p < 0.01$ ).

*Kinetics of cell death and detachment within frozen-thawed hES colonies kept at 4 °C for 90 min, followed by incubation at 37 °C for a further 90 min*

After incubation for 90 min at 4 °C, it was observed that  $90.6 \pm 4.1\%$  of hES cells were viable, as assessed by the trypan-blue exclusion test (Figure 7). However, when the low temperature-exposed hES cells were placed within a 37 °C incubator, there was observed to be a steep decrease in cell viability over time (Figure 7). After a further 90 min incubation at 37 °C, only  $38.1 \pm 6.8\%$  of cells retained their viability

(Figure 7). Additionally, there was also observed to be a steep increase in cell-detachment, from  $3.0 \pm 3.8\%$  to  $53.3 \pm 7.5\%$  of cells being detached, after 90 min of further incubation at 37 °C.

*TUNEL assay on frozen-thawed hES cells after 90 min of incubation at 37 °C*

After incubation for 90 min at 37 °C, detached hES cells that appeared non-viable were fixed on slides and subjected to the TUNEL assay. The results (Figure 8) indicated that the nuclei of the fixed cells were positively incorporated with fluorescently-labeled nucleotides through the action of the TdT enzyme, as indicated by bright green fluorescence under an excitation wavelength of 520 nm. This would mean that the nuclear DNA of the frozen-thawed hES cells were fragmented, which clearly indicated that apoptosis has taken place.

*Immunocytochemical staining for detection of active caspase-3 enzyme expression by frozen-thawed hES cells*

After incubation for 90 min at 37 °C, detached hES cells that appeared non-viable were fixed on slides and subjected to immunocytochemical staining for detection of active caspase-3 enzyme expression. The results (Figure 9) indicated that

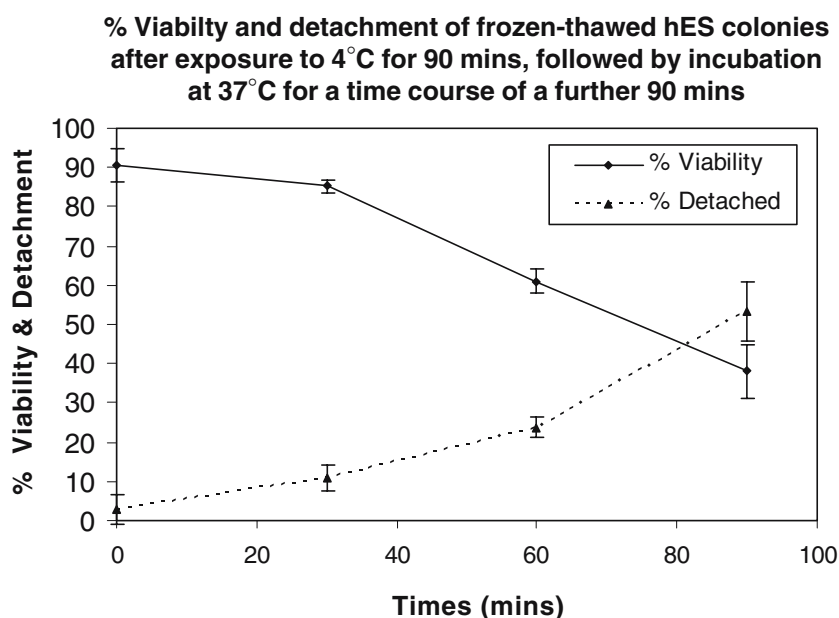
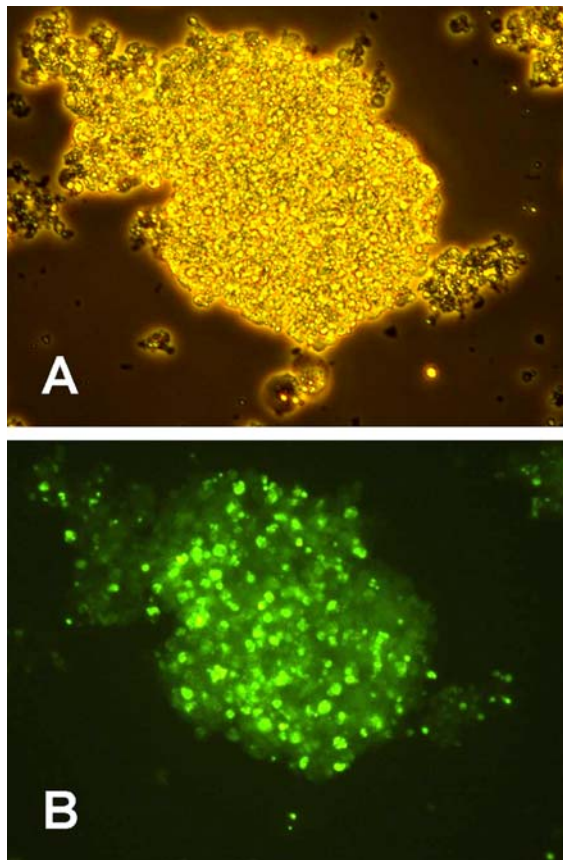


Figure 7. The percentage viability and detachment of hES colonies initially exposed to 4 °C for 90 min, followed by a further 90 min of incubation at 37 °C, was assessed by trypan blue staining and bright field microscopy.



**Figure 8.** Results of TUNEL assay on frozen-thawed hES cell after 90 min of incubation at 37 °C. (a) Phase-contrast microscopy at 200× magnification, (b) Fluorescence microscopy at 200× magnification, under an excitation wavelength of 520 nm.

the cytoplasm of the fixed cells were strongly expressing active caspase-3 enzyme, as indicated by bright green fluorescence under an excitation wavelength of 488 nm. This would mean that self-proteolysis activated by the caspase-3 enzyme had occurred within the frozen-thawed hES cells. This is a prominent hallmark of apoptosis [11].

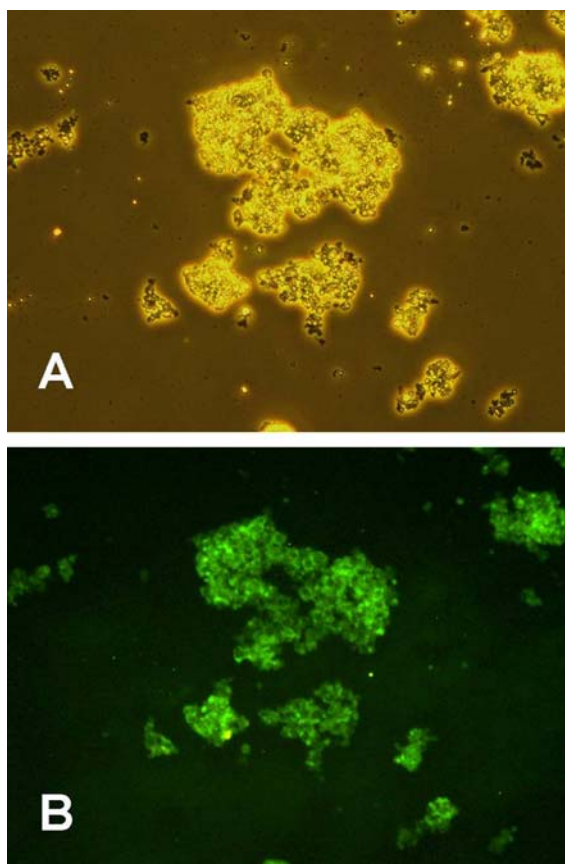
#### *Transmission electron microscopy*

After incubating frozen-thawed hES cells at 37 °C for 90 min, the detached non-viable cells were fixed and subjected to transmission electron microscopy. The results (Figure 10) showed the typical morphological features of apoptosis [12] such as chromatin condensation and margination to the nuclear membrane (Figure 10a and b), which was notably absent in non-cryopreserved cells (Figure 10c).

## **Discussion**

In the previous study of Ji et al. [20], adherent hES colonies were cryopreserved intact on 6-well dishes, utilizing conventional slow-cooling with a standard cryoprotectant concentration of 10% (v/v) DMSO. In the absence of matrigel and trehalose loading, it was demonstrated that only a very small fraction of cells within intact adherent hES colonies survived freeze-thawing; typically < 5%, which was comparable to the post-thaw recovery rate of enzymatically-dispersed hES cell-clumps cryopreserved within cryovials. Subsequently, Ji et al. [20] showed that matrigel embedment together with trehalose loading of the cells prior to cryopreservation, substantially enhanced post-thaw survival rates of adherent hES colonies. Nevertheless, the study of Ji et al. [20], did not attempt to characterize the mechanism responsible for the low post-thaw survival rates of adherent hES colonies cryopreserved without matrigel embedment and trehalose loading. It was somewhat presumed that the absence of matrigel and trehalose loading rendered the cells more vulnerable to cryoinjury induced by ice-crystallization and osmotic shock. This would imply that the major mechanism of hES cell-death during cryopreservation is cellular necrosis induced directly by cryoinjury. This study therefore attempted to investigate the validity of this presumption.

Surprisingly, the results clearly showed that immediately after the post-thaw wash (5 min time-point), the overwhelming majority of hES cells within adherent colonies were viable ( $\approx 98\%$ ), as assessed by the trypan blue exclusion test (Figure 5). However, there was a steep decline in viability when the hES colonies were incubated at 37 °C, which was much less severe at 4 °C (Figure 5). This slow-down in the kinetics of cell-death at 4 °C was somewhat reversible. Upon returning to physiological temperature 37 °C, there was again observed to be a steep decline of cell viability over time (Figure 7). These observations strongly challenge the presumption that cellular necrosis induced directly by cryoinjury is the major mechanism of cell death during freeze-thaw of adherent hES colonies. Instead, the results are suggestive of a self-induced apoptotic mechanism [21], since the kinetics of cell-death is so strongly dependent on physiological temperature (Figure 5). This is made even more evident by the observation that the



**Figure 9.** Results of immunocytochemical staining for active caspase-3 expression by frozen–thawed hES cell after 90 min of incubation at 37 °C. (a) Phase-contrast microscopy at 100× magnification, (b) Fluorescence microscopy at 100× magnification, under an excitation wavelength of 488 nm.

slow-down in the kinetics of cell death at low temperature is reversible upon returning to physiological temperature (Figure 7).

Indeed, the result of the TUNEL assay (Figure 8) provides clear evidence for nuclear DNA fragmentation within frozen–thawed hES cells after 90 min of incubation at 37 °C, by which time most of the cells would have lost their viability, as indicated by trypan blue staining (Figure 5). Fragmentation of nuclear DNA is a well-known hallmark of apoptosis [9, 10]. Moreover, immunostaining revealed positive expression of active caspase-3 enzyme (Figure 9), which is another prominent marker of apoptosis [11]. Subsequently, transmission electron microscopy images revealed typical morphological features of apoptosis [12] such as chromatin condensation and margination to the nuclear membrane (Figure 10).

Hence, these data provide conclusive evidence that the apoptotic machinery is somehow triggered in the hES cells immediately after freeze–thawing, and that this is the major causative mechanism for the loss of cellular viability, as opposed to cellular necrosis arising from cryoinjury.

The pertinent question that arises if a self-induced apoptotic mechanism is somehow implicated in hES cell death during cryopreservation; is why should this be abated by matrigel embedment and trehalose loading, as demonstrated by Ji et al. [20]? A possible conjecture is that in the absence of matrigel embedment and trehalose loading, cryo-damage is predominantly localized on extracellular structural elements within hES colonies, i.e. gap-junctions, intercellular adhesional contacts, rather than within the cell itself or its plasma membrane. Being ‘social’ cells that require co-operative interactions [14, 15, 22–25], hES cells are particularly vulnerable to any damage to gap-junctional and other intercellular adhesional contacts. Nowhere is this better demonstrated than in the routine serial passage of hES colonies. In all culture protocols reported to date [14, 15, 22, 23], special care is always taken to ensure that hES colonies are mildly dissociated into relatively large cell clumps during serial passage. Indeed, it was clearly demonstrated that extensive dissociation (enzymatic or mechanical) of hES colonies into single cells or clusters containing < 10 cells during serial passage can severely compromise the survival and propagation of hES cell *in vitro* [15]. A cell clump size of ≈100 cells has been reported to be optimal for hES colony growth and propagation [15]. The highly ‘co-operative’ nature of hES cells is further confirmed by electron-microscopic ultrastructural studies, which showed an abundance of tight and gap-junctions within hES colonies [24], as well as by transcriptome profile analysis [25], which revealed high levels of expression of gap-junction and adhesion proteins (i.e. connexin-43 and claudin-6).

Presumably, matrigel embedment and trehalose loading could somehow mitigate against cryo-damage to these gap-junctional and adhesional elements within hES colonies. This in turn led to enhanced post-thaw survival rates, as reported by Ji et al. [20]. We hypothesize that cryo-damage to gap-junctional and adhesional elements within hES colonies somehow triggered an apoptotic cascade within individual hES cells. This is clearly evidenced by the positive results of the TUNEL assay (Figure 8) and

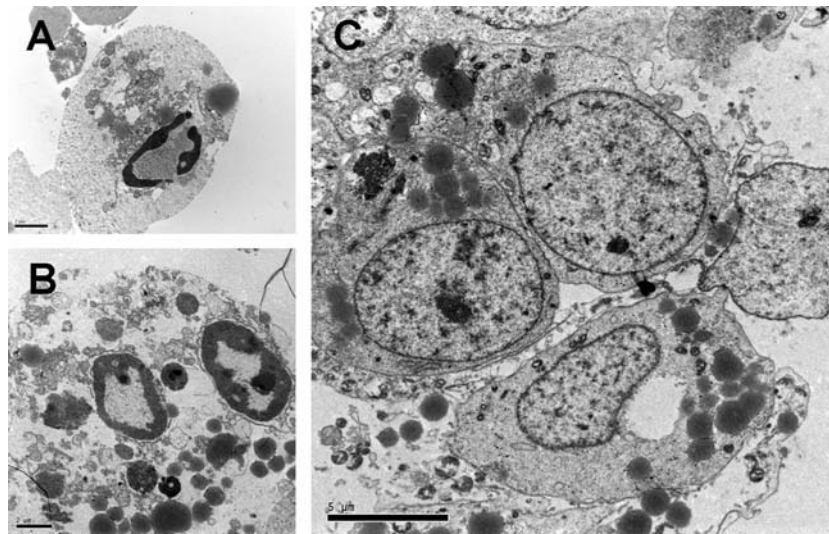


Figure 10. Results of transmission electron microscopy on frozen-thawed hES cell after 90 min of incubation at 37 °C. (a) Early apoptosis with obvious chromatin condensation and margination to the nuclear membrane, (b) Late apoptosis with secondary necrosis, (c) Non-cryopreserved hES cells (negative control) showing uncondensed chromatin (speckled appearance of nucleus).

immunocytochemical staining for active caspase-3 expression (Figure 9), together with the distinct morphological features of apoptosis observed under transmission electron microscopy (Figure 10). As would be expected, the various intracellular proteins and enzymes implicated in this putative apoptotic cascade are reversibly and temporarily inhibited by low temperature, as demonstrated by our results (Figure 7). Future work would therefore attempt to investigate the molecular elements of this putative apoptotic cascade.

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