



Cite this: *Nanoscale*, 2017, 9, 454

## Kinetics of receptor-mediated endocytosis of elastic nanoparticles†

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It is now widely recognized that mechanical properties play critical roles in the cell uptake of nano-materials. Here we conduct a theoretical study on the kinetics of receptor-mediated endocytosis of elastic nanoparticles that is limited by receptor diffusion, specifically focusing on how the uptake rate depends on the nanoparticle stiffness and size, membrane tension and binding strength between membrane receptors and ligands grafted on the nanoparticle surface. It is shown that, while soft nanoparticles are energetically less prone to full wrapping than stiff ones, the wrapping of the former is kinetically faster than that of the latter. Spherical and cylindrical elastic nanoparticles show dramatic differences in the effect of stiffness on the uptake rate. Additional theoretical analysis is performed to investigate the role of the stochastic receptor–ligand binding in the endocytosis of elastic nanoparticles. The relation between the uptake efficiency and uptake proneness is discussed. This study provides new insight into the elasticity effects on cell uptake and may serve as a design guideline for the controlled endocytosis and diagnostics delivery.

Received 10th September 2016,

Accepted 24th November 2016

DOI: 10.1039/c6nr07179a

www.rsc.org/nanoscale

## 1 Introduction

Cell uptake of nanoparticles is of fundamental importance not only to the understanding of biological functions such as nutrient uptake but also to a broad range of applications including drug delivery, biomedical imaging, virology and nanoparticle hazard prevention.<sup>1</sup> Over the past decade, it has become well established through both theoretical and experimental investigations that endocytosis of nanoparticles strongly depends on particle size,<sup>2–7</sup> shape<sup>6–16</sup> and surface physiochemical properties.<sup>16–20</sup> A number of recent studies have also been performed to explore the effects of the stiffness of nanomaterials on the proneness,<sup>15,21–25</sup> kinetic rate<sup>24,26–31</sup> and pathways<sup>25,26</sup> of particle internalization.

For cell uptake of elastic nanoparticles *via* receptor-mediated membrane wrapping, theoretical analysis, molecular dynamics simulations and experimental studies showed that stiff nanoscale vesicles or capsules, require less adhesion energy than soft ones to complete the wrapping process,<sup>15,21–23</sup> indicating a stiffness effect on the energetic proneness of cell uptake of nanoparticles. For example, stiffness-enhanced

uptake has been observed in the interaction between lipid-covered polymeric nanoparticles of radius 40 nm and HeLa as well as endothelial cells.<sup>23</sup> There have also been studies on the stiffness effect on the cell uptake rate of nanoparticles with different sizes and material compositions.<sup>24,26–32</sup> However, there exist apparent inconsistencies and even contradictions in the literature. For example, phagocytosis of stiff micro-particles by bone-marrow-derived macrophages exhibits higher efficiency than soft ones.<sup>24</sup> Hydrogel nanoparticles with radius around 80 nm show strong stiffness-dependent uptake by murine RAW 264.7 macrophages.<sup>26</sup> Nanoparticles of an intermediate Young's modulus  $E_Y$  (35 kPa to 135 kPa) are internalized at higher rates of uptake than softer ( $E_Y$  of 18 kPa) and stiffer ( $E_Y$  of 210 kPa) particles.<sup>26</sup> Stiffness effects have also been identified in uptake by HepG2 cells of hydrogel nanoparticles with a radius of 400 nm and a compression modulus from 15 kPa to 150 kPa, but in these cases softer nanoparticles exhibit higher uptake rates than stiffer ones.<sup>27</sup> As the particle stiffness is reduced, microsized polymer capsules have been observed to undergo a faster entry into HeLa cells.<sup>28,29</sup> In contrast, stiffer hydrogel nanoparticles of radius 100 nm exhibit an enhanced integrated rate of membrane binding and uptake in their interaction with macrophages, epithelial and endothelial cells.<sup>30</sup> Moreover, the effects of nanoparticle stiffness on their intracellular accumulation rate and distribution have been investigated.<sup>26–29</sup> A recent study based on molecular dynamics simulations demonstrates that soft vesicular nanoparticles undergo a faster membrane wrapping process than stiff ones.<sup>31</sup> Thus, although it is clear that the stiffness of

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† Electronic supplementary information (ESI) available: For the case of spherical elastic nanoparticles, two supplemental figures on the wrapping time as a function of particle radius at zero and a higher finite membrane tension. Technical details and several supplemental figures about the uptake kinetics of cylindrical elastic nanoparticles. See DOI: 10.1039/C6NR07179A

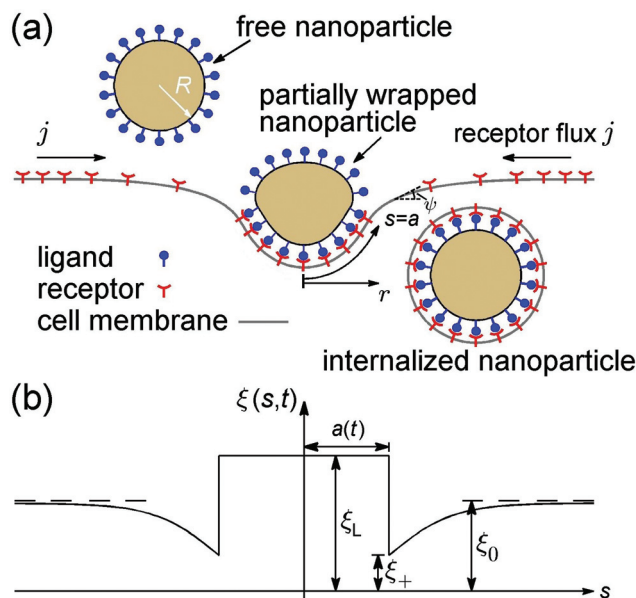
nanoparticles has pronounced influences on their interaction with cells, the results from different experiments and simulation studies are not all consistent and the underlying mechanisms are still not fully clear. This calls for further investigations at a fundamental level.

Receptor-mediated endocytosis is one of the most important and best characterized cellular uptake pathways. Here we present the first theoretical model on the kinetics of receptor-mediated endocytosis of elastic nanoparticles, focusing on how the rate of uptake depends on the nanoparticle stiffness and size, membrane tension and binding strength between membrane receptors and ligands grafted on the nanoparticle surface. In this study, we assume that the receptors diffusing along the cell membrane bind instantly to the ligand-coated nanoparticle upon contact. It will be shown that, while soft nanoparticles are energetically less prone to full wrapping than stiff ones, the wrapping of the former is kinetically faster than that of the latter in the diffusion-limited case considered here. Spherical and cylindrical elastic nanoparticles show dramatically different behaviors with respect to the kinetic effects of stiffness. We have also performed case studies to demonstrate the effects of stochastic receptor–ligand binding on endocytosis. The interplay between energetic proneness and the kinetic rate of wrapping may rationalize the apparent controversies in the literature.

## 2 Model and methods

### 2.1 Process of receptor-mediated endocytosis

Consider an initially flat cell membrane of a finite size containing mobile receptors wrapping around an elastic spherical nanoparticle coated with compatible ligands (Fig. 1). We assume that the ligands on the nanoparticle surface are immobile and uniformly distributed at a density of  $\xi_L$ , whereas the receptors on the cell membrane are mobile, and can diffuse in the plane of the membrane until they bind specifically with the ligands on the nanoparticle. Before the nanoparticle comes in contact with the cell membrane, the receptors are assumed to be uniformly distributed at density  $\xi_0$ , which is usually much smaller than  $\xi_L$ . Once the contact starts, each ligand within the contact region is assumed to bind with a receptor. Therefore, the receptor density within the contact region is raised from  $\xi_0$  to  $\xi_L$ , *i.e.*, the same density as that of ligands on the nanoparticle. The receptor–ligand binding lowers the free energy of interaction, and causes the membrane to wrap around the nanoparticle at the cost of reduced configurational entropy due to receptor immobilization and increased elastic deformation energy in both the nanoparticle and membrane. Driven by the free energy reduction induced by receptor–ligand binding, the contact region expands as neighboring receptors are drawn to the contact edge by diffusion, which results in a local depletion of receptors in the vicinity of the contact region. The resulting gradient of receptor density in turn induces global receptor diffusion toward the binding site. As long as the free energy



**Fig. 1** Schematic of receptor-mediated endocytosis of a spherical elastic nanoparticle of an initial radius  $R$ . (a) Three characteristic wrapping states. An initially free elastic nanoparticle coated with ligands is wrapped around by an initially flat cell membrane containing diffusive receptors. Under energetically favorable conditions, the nanoparticle eventually achieves full wrapping and pinches off from the cell membrane. The shape of the membrane under axisymmetric deformation can be depicted in terms of the arc length  $s$  and tangent angle  $\psi$ . (b) During the wrapping process, the receptor density distribution in the membrane is non-uniform, with receptor density  $\xi$  depleted to  $\xi_+$  in the vicinity of the binding region, which in turn induces global receptor diffusion toward the contact edge.

reduction associated with receptor–ligand binding can compensate for the energy cost mentioned above, the wrapping process continues until the nanoparticle is fully wrapped (Fig. 1). The wrapping time  $t$  is counted from the moment of contact ( $t = 0$ ) until the state of full wrapping ( $t = t_w$ ), with  $t_w$  defined as the total wrapping time.

To model the wrapping process, we propose a model that accounts for both the kinetics of receptor diffusion and deformation of the wrapped nanoparticle and cell membrane. The kinetic part is based on a mathematical model initially developed for cell spreading<sup>33</sup> but later generalized for the receptor-mediated endocytosis of rigid spherical and cylindrical nanoparticles with simplified receptor diffusion equations and membrane deformation configurations.<sup>2,3</sup> The elastic nanoparticle is modeled as a deformable vesicle. Experimental studies indicate that the time scale of membrane equilibrium is less than one second,<sup>34</sup> while cell uptake of nanomaterials is usually limited by receptor diffusion with a time scale in the range of tens of seconds to tens of minutes.<sup>6</sup> This dramatic difference in the time scale suggests that the vesicular nanoparticle and cell membrane are essentially in a static equilibrium state on the time scale of receptor diffusion. In other words, the configurations of the vesicle and membrane can be determined by minimizing the free energy of the system at

each time step of the wrapping process.<sup>21</sup> Axisymmetric configurations will be assumed throughout the analysis.

## 2.2 Deformation of the particle–membrane system

We first describe the continuum modeling on the axisymmetric deformation of the elastic nanoparticle and cell membrane. During the wrapping process, the elastic deformation energy of the nanoparticle and cell membrane is expressed in terms of the Canham–Helfrich functional as<sup>21,47,50</sup>

$$E_{\text{el}} = \sum_{i=p,m} \left( \int 2\kappa_i H_i^2 dA_i + \Gamma_i A_i \right) + \sigma \Delta A_m, \quad (1)$$

where  $H_i$  ( $i = p, m$ ) is the mean curvature,  $\kappa_i$  is the bending stiffness,  $\sigma$  is the cell membrane tension,  $\Delta A_m$  is the excess area induced by wrapping, and  $\Gamma_i$  is the Lagrangian multiplier under the constraint that the surface areas  $A_i$  of the nanoparticle and cell membrane are both fixed due to the high in-plane stretching modulus of a lipid bilayer. Throughout this article, subscripts ‘p’ and ‘m’ are used to identify quantities associated with the nanoparticle and cell membrane, respectively. All length scales will be scaled by the effective radius of the nanoparticle  $R = \sqrt{A_p/(4\pi)}$ . It turns out to be useful to introduce the following normalized membrane tension,

$$\bar{\sigma} = 2\sigma R^2/\kappa_m,$$

as a dimensionless system parameter.

Variation of the energy functional in eqn (1) gives rise to a set of equations that govern the equilibrium configurations of the nanoparticle and cell membrane once the contact area is known at each time step associated with receptor diffusion.<sup>21</sup> We adopt a shooting method to numerically determine the axisymmetric shapes of the nanoparticle and membrane corresponding to the lowest energy.<sup>21</sup> The shapes shown in Fig. 1a are determined from the tangent angle  $\psi(s, t)$  with geometric relations  $\partial r/\partial s = \cos \psi$  and  $\partial z/\partial s = \sin \psi$ , where  $\psi = \psi(s, t)$  is the tangent angle with the arc length  $s$  defined along the cell membrane and measured from the bottom pole ( $s = 0$ ) and the wrapping time  $t$  counted from the moment of contact ( $t = 0$ );  $r = r(s, t)$  and  $z = z(s, t)$  are the  $r$ - and  $z$ -coordinates of the adopted coordinate system in Fig. 1a, respectively.

## 2.3 Kinetics of receptor diffusion

Next we focus on the kinetics of receptor diffusion occurring in the cell membrane, which is characterized by the evolution of receptor density  $\xi(s, t)$  and could be determined by solving a deterministic moving boundary problem. The arc length of the contact region is denoted by  $a(t)$ . At  $t = 0$ ,  $a(0) = 0$  and  $\xi(s, 0) = \xi_0$ . Conservation of the total number of receptors requires

$$\frac{\partial}{\partial t} \left[ \int \xi_L dA_c + \int \xi(s, t) dA_{\text{outer}} \right] = 0, \quad (2)$$

where  $A_c$  is the contact area and  $A_{\text{outer}}$  is the area of the outer free cell membrane. Substituting the continuity equation<sup>35</sup>

$$\frac{\partial \xi}{\partial t} = -\frac{1}{r} \frac{\partial(rj)}{\partial s}, \quad (3)$$

$j$  being the diffusive flux of receptors, into eqn (2) while using the Leibniz rule for differentiation of a definite integral yields

$$\frac{2R^2}{r_+} (\xi_L - \xi_+) \frac{df}{dt} + j_+ = 0, \quad (4)$$

where  $f \equiv A_c/(4\pi R^2) \in [0, 1]$  is the wrapping degree,  $r_+(t) \equiv r(a_+, t)$ ,  $j_+(t) \equiv j(a_+, t)$ ,  $\xi_+(t) \equiv \xi(a_+, t)$  denote values directly in front of the contact edge. Here we have used the conditions that the total area of the cell membrane is fixed and  $j = 0$  at the remote boundary. For rigid spherical nanoparticles,  $df/da = r_+/(2R^2)$  and eqn (4) reduces to  $(\xi_L - \xi_+) da/dt + j_+ = 0$ , which has the same form as eqn (3) in ref. 2.

The diffusive flux of receptors,  $j = j(s, t)$ , is assumed to be prescribed according to Fick's first law as<sup>35</sup>

$$j = -D \frac{\partial \xi}{\partial s}, \quad (5)$$

where  $D$  is the diffusivity of receptors in the cell membrane. Substituting eqn (5) into the continuity eqn (3) yields the following governing equation for receptor diffusion along the deformed outer free membrane

$$\frac{\partial \xi(s, t)}{\partial t} = D \left( \frac{\partial^2 \xi}{\partial s^2} + \frac{\cos \psi}{r} \frac{\partial \xi}{\partial s} \right), \quad s > a(t), \quad (6)$$

which describes the evolution of receptor density distribution over time. The profiles of  $r(s, t)$  and  $\psi(s, t)$  are determined from the equilibrium solutions (solved by the shooting method) as discussed above.

To obtain the total wrapping time  $t_w$  from the moment of initial contact until the state of full wrapping with the wrapping degree  $f = 1$ , we evaluate the wrapping rate  $df/dt$  as a function of  $r_+$ ,  $\xi_+$  and  $j_+$  based on eqn (4), where  $r_+$  is determined as a function of  $f$  by the shooting method. As  $j_+ = -D(\partial \xi/\partial s)|_{s=a_+}$ , the key step in obtaining  $t_w$  lies with finding  $\xi_+$  and  $\xi(s, t)$  at a given  $f$ , following a power balance between elastic deformation and receptor diffusion as follows.

The total free energy of the system  $F(t)$ , consisting of the energy of receptor–ligand binding, configurational entropy of receptors, and elastic deformation energy of the cell membrane and nanoparticle, is written as

$$F(t) = \int \xi_L k_B T \left( -e_{\text{RL}} + \ln \frac{\xi_L}{\xi_0} \right) dA_c + \int \xi k_B T \ln \frac{\xi}{\xi_0} dA_{\text{outer}} + E_{\text{el}}, \quad (7)$$

where  $E_{\text{el}}$  is the elastic deformation energy from eqn (1),  $k_B T (= 4.1 \times 10^{-21} \text{ J})$  is the thermal energy, and  $k_B T e_{\text{RL}}$  is the binding energy per receptor–ligand bond of  $10 k_B T$  to  $25 k_B T$ ,<sup>36</sup> which is estimated to be around  $15 k_B T$  at a temperature of 300 K based on the analysis of antibody–antigen interaction;<sup>37</sup>  $k_B T \ln(\xi_L/\xi_0)$  and  $k_B T \ln(\xi/\xi_0)$  are the free energy per receptor associated with the loss of configurational entropy of the bound and free receptors, respectively.

Differentiation of  $F(t)$  in eqn (7) with respect to time  $t$  results in

$$\frac{dF(t)}{dt} = - \int Dk_B T \xi \left( \frac{\partial \ln \xi}{\partial s} \right)^2 dA_{\text{outer}} - 4\pi R^2 \left( k_B T \xi_L C_\gamma - \frac{1}{4\pi R^2} \frac{dE_{\text{el}}}{df} \right) \frac{df(t)}{dt}, \quad (8)$$

where

$$C_\gamma = e_{\text{RL}} + \ln \frac{\xi_+}{\xi_L} + 1 - \frac{\xi_+}{\xi_L}.$$

The integral term in eqn (8) represents the rate of energy dissipation associated with receptor transport along the cell membrane.<sup>2,33</sup> By balancing the rate of free energy reduction in the wrapping process with the rate of energy dissipation during receptor transport, the second term in eqn (8) must vanish so that

$$k_B T \xi_L C_\gamma - \frac{1}{4\pi R^2} \frac{dE_{\text{el}}}{df} = 0. \quad (9)$$

This equation allows  $\xi_+(t)$  to be determined at a given  $f$ .

Once the receptor density profile at a point of time ( $t = t_0$ ) during the initial stage of contact is known, we can determine  $\xi(s, t)$  at any following time  $t > t_0$  by solving the diffusion eqn (6) *via* the finite difference method, using  $\xi_+(t)$  to determine  $j_+(t)$  in eqn (5), and then obtaining the wrapping rate  $df/dt$  from  $\xi_+(t)$ ,  $j_+(t)$  and  $r_+(t)$  through eqn (4). This is a typical procedure in solving a deterministic moving boundary problem.

The receptor density  $\xi(s, t_0)$  at the initial stage of contact can be determined approximately as follows. At this stage, the contact size is much smaller than the membrane size and the outer free membrane is almost flat. Therefore, the membrane at that moment can be approximately regarded as a flat membrane of an infinite size and the diffusion eqn (6) can be reduced to  $\partial \xi / \partial t = D \partial^2 \xi / \partial s^2$  over  $a(t_0) < s < \infty$ , which can be solved analytically as (ref. 2)

$$\xi(s, t_0) = \xi_0 + A_{3D} E_1 \left( \frac{s^2}{4Dt_0} \right), \quad (10)$$

where  $E_1(x) = \int_1^\infty u^{-1} e^{-ux} du$  is the exponential integral and  $A_{3D}$  is a constant of integration. This solution satisfies the axisymmetric diffusion equation and the boundary condition  $\xi(s, 0) = \xi_0$  and  $\xi(s, t_0) \rightarrow \xi_0$ ,  $j(s, t_0) \rightarrow 0$  as  $s \rightarrow \infty$ . As nanoparticles of different stiffnesses display almost the same configuration at the initial stage of contact, the corresponding conservation condition eqn (4) can be approximated by  $(\xi_L - \xi_+) da/dt + j_+ = 0$ , the same form as that in the case of a rigid nanoparticle. Substituting the solution in eqn (10) into the above conservation equation results in

$$A_{3D} = - \frac{\alpha^2 e^{\alpha^2} (1 - \bar{\xi})}{1 - g_{3D}} \xi_L, \quad (11)$$

where  $\bar{\xi} = \xi_0 / \xi_L$  and  $g_{3D} = \alpha^2 e^{\alpha^2} E_1(\alpha^2)$ ,  $\alpha$  being a constant to be determined. It follows that  $\xi_+ = (\bar{\xi} - g_{3D})(1 - g_{3D})^{-1} \xi_L$ .

Substituting eqn (10) and (11) and  $\xi_+$  into eqn (9), the constant  $\alpha$  can be determined from

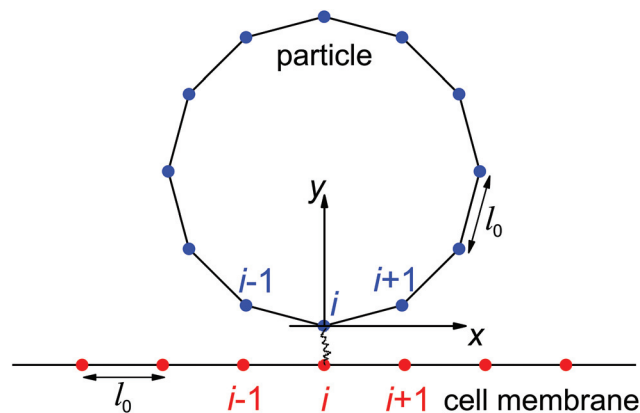
$$k_B T \xi_L \left( e_{\text{RL}} + \ln \frac{\bar{\xi} - g_{3D}}{1 - g_{3D}} + \frac{1 - \bar{\xi}}{1 - g_{3D}} \right) - \frac{1}{4\pi R^2} \frac{dE_{\text{el}}}{df} = 0. \quad (12)$$

Once  $\alpha$  is known,  $\xi(s, t_0)$  at the initial stage of contact is fully defined by eqn (10). With the knowledge of  $\xi(s, t_0)$ ,  $\xi_+(t)$ , the configurations of the nanoparticle and cell membrane, and the diffusion eqn (6), we can proceed to determine the wrapping rate  $df/dt$  through eqn (4). Following the above procedure of solving the deterministic moving boundary problem, the total wrapping time is then obtained as  $t_w = \int_0^1 (df/dt)^{-1} df$ .

## 2.4 Particle wrapping induced by stochastic receptor–ligand binding

In the present study, we focus on the receptor-mediated endocytosis in which the rate of the particle wrapping is kinetically limited by the diffusional aggregation of receptors in the membrane to the cell–particle binding site, and the wrapping process is modeled as a deterministic moving boundary problem. An implicit assumption is that if the association rate of receptor–ligand bonds is high enough, the bond formation between a ligand and its complementary receptor would enable particle wrapping by the deformed cell membrane. To demonstrate the validity of this implicit assumption, we performed additional studies on the wrapping of a two-dimensional particle *via* stochastic receptor–ligand binding in the Cartesian coordinate system ( $x, y$ ). A brief description of the modeling is provided here, and corresponding numerical results are presented in the Results section.

The two-dimensional vesicular particle of circumference  $2\pi R$  is discretized into  $N_p$  elements of equal length (Fig. 2). The bending energy of the particle and cell membrane could be expressed as  $E_{\text{el}} = \frac{K_p}{2} \int c_p^2 ds_p + \frac{K_m}{2} \int c_m^2 ds_m + \sigma \Delta s_m$ , where



**Fig. 2** Schematic showing the initial configuration of a discretized circular vesicle attaching onto a discretized flat membrane through receptor–ligand binding. Ligands (blue dots) on the particle and receptors (red dots) on the membrane are assumed to be immobile. The  $i$ -th ligand located at the bottom pole of the particle is assumed to bind its complementary ( $i$ -th) receptor at the initial particle attachment.

$c_i$  ( $i = p, m$ ) is the curvature,  $s_i$  is the arc length and  $\Delta s_m$  is the difference between the total length of the membrane and its projection on the horizontal axis. The curvature  $c$  is calculated from  $c^2 = y^2_{,xx}/(1 + y^2_{,xx})^3$  with  $y_{,xx} \equiv d^2y/dx^2$ . The constraint of a fixed particle circumference is enforced by a harmonic energy penalty term  $E_{\text{length}} = k \sum_{n=1}^{N_p} (1 - l_n/l_0)^2$ , where  $k$  is the penalty coefficient (taken as  $10^3$  in our simulations),  $l_n$  is the length of the  $n$ -th element and  $l_0$  is the initial element length of a free circular vesicular particle. In a similar manner, the cell membrane is discretized into  $N_m$  elements of a reference length  $l_0$ .

In this stochastic modeling, the ligands and receptors are immobile and uniformly distributed along the particle surface and cell membrane at the nodes of elements (blue and red dots in Fig. 2). The receptor–ligand bonds are modeled as linear springs of stiffness  $k_{\text{RL}}$  and rest length  $l_b$ . A bond is formed as the distance between the ligand and its complementary receptor is within a binding radius  $l_{\text{bind}}$ . The elastic energy stored in a closed bond of length change  $\Delta l_b$  is  $E_b = k_{\text{RL}}(\Delta l_b)^2/(2k_{\text{B}}T)$  with  $\Delta l_b > 0$  for a stretched closed bond. According to Kramer's theory,<sup>37,38</sup> the dissociation rate  $k_{\text{off}}$  of a closed bond is

$$k_{\text{off}} = k_{\text{off}}^0 \exp[k_{\text{RL}}\Delta l_b x_b / (k_{\text{B}}T)],$$

where  $x_b$  is the distance between the point of minimum binding potential and that of the energy barrier peak, with a typical value of  $x_b = 1$  nm, and  $k_{\text{off}}^0$  is the spontaneous dissociation rate at  $\Delta l_b = 0$ . The bond association rate  $k_{\text{on}}$  of the  $i$ -th open bond is<sup>39,40</sup>

$$k_{\text{on}} = k_{\text{on}}^0 \frac{l_{\text{bind}}}{Z} \exp\left[-\frac{k_{\text{RL}}(\Delta l_b)^2}{2k_{\text{B}}T}\right],$$

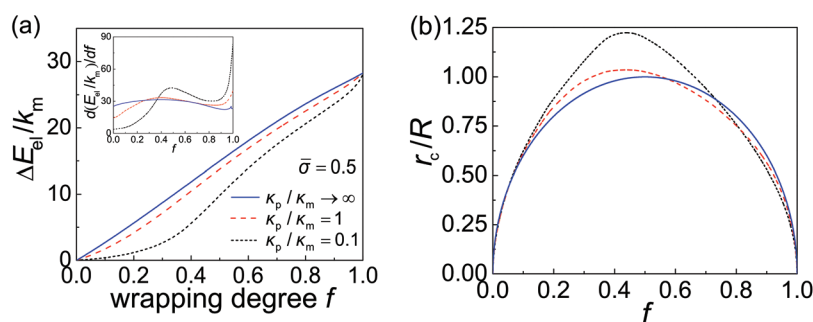
where  $k_{\text{on}}^0$  is the reference association rate and the partition function  $Z$  is  $Z = \sqrt{\pi}[\text{erf}(\Delta l_b \alpha) + \text{erf}(l_b \alpha)]/(2\alpha)$  with  $\alpha = \sqrt{k_{\text{RL}}/(2k_{\text{B}}T)}$  and erf being the error function.

Here we employ Monte Carlo simulations based on the Metropolis algorithm to capture the deformation of the particle–membrane system and the Gillespie algorithm for the stochastic bonding and breaking of receptor–ligand bonds,

respectively. The total deformation energy of the system includes the bending energy of the particle and cell membrane, the elastic energy stored in the closed receptor–ligand bonds, and energy penalty terms enforcing the length constraints of the particle and membrane. In the initial configuration, a flat cell membrane is located at  $y = -l_b$  and a circular vesicular particle is centered at  $x = 0$  and  $y = R$  as illustrated in Fig. 2. We are interested in particle wrapping after the initial attachment and nucleation of receptor–ligand domains.<sup>41</sup> The ligand located at the bottom pole of the particle is assumed to bind its complementary receptor. The nodes of the discretized particle surface undergo random displacements to mimic thermally excited shape fluctuations. A new particle configuration is accepted or rejected according to the Metropolis algorithm. A similar scheme is employed in determining the cell membrane configuration, which is subjected to a periodic boundary condition in the  $x$ -direction. A single Monte Carlo sweep for the particle and cell membrane configurations consists of  $N_p + N_m$  attempted random node displacements, in which self-contact and penetration between the particle surface and cell membrane is avoided. The first-reaction method of the Gillespie algorithm is then employed to determine when and where bond association/dissociation would occur in the new system configuration determined by the previous Monte Carlo sweep.<sup>39,40</sup> Bond kinetics is monitored by updates of the bond binding state and elastic energy stored in closed bonds. The system configuration and stochastic binding events are recorded from the initial state as indicated in Fig. 2 until a dynamic equilibrium state of partial- or full-wrapping is achieved. The number of closed bonds as a function of elapsed wrapping time is provided in the Results section, and the following values have been adopted:  $R = 64$  nm,  $N_p = 80$ ,  $N_m = 120$ ,  $k_{\text{RL}} = 0.25$  pN nm<sup>-1</sup>,  $l_{\text{bind}} = 1$  nm,  $l_b = 11$  nm,  $k_{\text{on}}^0/k_{\text{off}}^0 = 500$ , and  $\bar{\sigma} = 0.5$ .

### 3 Results

The derivative of elastic energy  $dE_{\text{el}}/df$  in eqn (9) and (12) and the  $r$ -coordinate of the contact edge  $r_c$  in eqn (4) are plotted as functions of the wrapping degree  $f$  in Fig. 3a and b, respectively.



**Fig. 3** Variation of elastic energy and contact radius during receptor-mediated endocytosis of an elastic spherical nanoparticle. (a) Variation of elastic energy change  $\Delta E_{\text{el}}$  and its derivative  $dE_{\text{el}}/df$  (inset) with respect to the wrapping degree  $f$  for different particle–membrane stiffness ratios  $K_p/K_m$  at  $\bar{\sigma} = 0.5$ ; and (b) similar variation of the radius ( $r$ -coordinate) of the contact edge  $r_c$ . Here,  $\Delta E_{\text{el}} = E_{\text{el}} - E_{\text{el}}^0$ , where  $E_{\text{el}}^0 = 8\pi\kappa_p$  is the reference elastic energy of the nanoparticle before it contacts the membrane.

With the knowledge of these variables and following the numerical scheme for obtaining  $df(t)/dt$  discussed in the preceding section, we can then obtain  $f(t)$  and the total wrapping time  $t_w$ .

In general, a smaller particle–membrane stiffness ratio  $\kappa_p/\kappa_m$  gives rise to a more flattened nanoparticle with a larger  $r_c$  and consequently a longer contact circumference in the early- and mid-stages of wrapping, as shown in Fig. 3b. Also, during the late stage of wrapping, the contact edge becomes rather insensitive to particle stiffness (Fig. 3b). Since the rate of receptor diffusion is proportional to the length of the contact edge, the wrapping of a softer nanoparticle can thus be expected to be kinetically faster than that of a stiffer one. This is confirmed through numerical analysis in the following.

Depending on the types of cells, the receptor diffusivity  $D$  typically ranges from  $0.01 \mu\text{m}^2 \text{s}^{-1}$  to  $1 \mu\text{m}^2 \text{s}^{-1}$ .<sup>42–45</sup> The receptor density  $\xi_0$  can vary considerably from hundreds to thousands of receptors per square  $\mu\text{m}$ . For example, it was reported that  $\xi_0 \approx 1300 \mu\text{m}^{-2}$  for human leukemia CCRF-CEM cells and  $\xi_0 \approx 550 \mu\text{m}^{-2}$  for HeLa cervical cancer cells.<sup>46</sup> The ligand density  $\xi_L$  also varies in a wide range depending on the nanoparticle type. For instance, while  $\xi_L \approx 7 \times 10^3 \mu\text{m}^{-2}$  for Semliki Forest virus (of radius 30 nm and 80 spikes on the surface)<sup>47</sup> and HIV-1 (of radius 50 nm and 219 gp120 proteins on the surface),<sup>48</sup>  $\xi_L$  can vary from  $3 \times 10^3 \mu\text{m}^{-2}$  to  $2 \times 10^4 \mu\text{m}^{-2}$  for engineered nanoparticles.<sup>49</sup> Therefore, the ratio  $\bar{\xi} = \xi_0/\xi_L$  could fall in the range of 0.025 to 0.5. The radius of the initial flat circular membrane is assumed to be  $L = 10 \mu\text{m}$ . A typical set of parameter values used in our calculations is summarized in Table 1.

Taking these typical parameter values, the wrapping degree  $f$  is determined and shown in Fig. 4 as a function of the normalized time  $t\xi_L D$  for different  $\kappa_p/\kappa_m$  at  $\bar{\sigma} = 0.5$ ,  $\bar{\xi} = 0.025$  and  $R = 200 \text{ nm}$ . If the process of membrane wrapping is modeled as an expansion of an effective contact area of receptor–ligand adhesion on a flat membrane, the receptor diffusion equation reduces to the classical two-dimensional (2D) isotropic plane diffusion and  $r_c(f) = 2R\sqrt{f}$ . In that case, the wrapping rate  $df/dt$  is a linear function of  $t$  as shown in ref. 2. In the current case where the slope of  $r_c(f)$  in Fig. 3b is smaller than that of the classical 2D plane diffusion case, the wrapping rate  $df/dt$  decreases as wrapping proceeds. At a given  $f$ ,  $df/dt$  should be proportional to  $r_c$ . Since  $r_c$  is insensitive to  $\kappa_p/\kappa_m$  at  $f < 0.15$  (Fig. 3b), so is  $df/dt$  in this range (Fig. 4). As  $f$  becomes larger, a soft nanoparticle exhibits a larger  $r_c$  in the mid-stage of wrapping and a slightly smaller  $r_c$  in the late stage of wrapping, compared to a stiff nanoparticle of the same size (Fig. 3b). Therefore, compared to the reference case of a stiff particle, the wrapping of a soft nanoparticle becomes kinetically faster during the mid-stage of wrapping and slightly slower in the

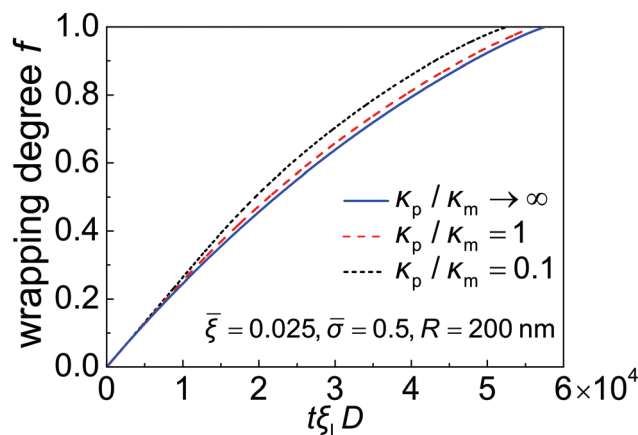


Fig. 4 Wrapping degree  $f$  as a function of the normalized time  $t\xi_L D$  for different values of the nanoparticle–membrane stiffness ratio  $\kappa_p/\kappa_m$  at  $\bar{\sigma} = 0.5$ ,  $\bar{\xi} = 0.025$  and  $R = 200 \text{ nm}$ .

late-stage of wrapping, leading to a smaller total wrapping time as indicated in Fig. 4.

Due to the limited number of ligands and the finite strength of ligand–receptor binding energy, there exists a minimum radius of the nanoparticle  $R_{\text{min}}^{3D}$  below which the bending energy of the cell membrane as well as the deformation energy of the nanoparticle prohibits the wrapping process and reduces the wrapping speed to zero ( $\alpha \rightarrow 0$ ). By assuming that eqn (10)–(12) are valid at  $R = R_{\text{min}}^{3D}$  and letting  $\alpha \rightarrow 0$ , the minimum wrapping radius  $R_{\text{min}}^{3D}$  can be obtained from eqn (12) as

$$R_{\text{min}}^{3D}(\bar{\xi}) = \sqrt{\frac{\max\{dE_{el}/df\}}{4\pi k_B T \xi_L (1 + e_{RL} + \ln \bar{\xi} - \bar{\xi})}} \geq \sqrt{\frac{\max\{dE_{el}/df\}}{4\pi\gamma}}, \quad (13)$$

where  $\gamma \equiv e_{RL} k_B T \xi_L$  is the effective adhesion energy due to the specific receptor–ligand binding and  $\max\{dE_{el}/df\}$  represents the maximum value of  $dE_{el}/df$ . A larger  $R_{\text{min}}^{3D}$  is required at a weaker binding strength  $e_{RL} k_B T$  and a smaller ligand density  $\xi_L$ . The equality in eqn (13) only holds at  $\bar{\xi} = 1$ , which is physiologically irrelevant in the current study. As the last term in the above equation can be regarded as the minimum nanoparticle radius obtained from the free energy minimization of the total elastic deformation energy and adhesion energy,<sup>21,47,50</sup> eqn (13) indicates that  $R_{\text{min}}^{3D}$  obtained based on the kinetic model of receptor diffusion is slightly larger than that derived from a free energy analysis in which the densities of ligands and mobile receptors are assumed to be equal and no receptor diffusion is considered. Since  $\max\{dE_{el}/df\}$  increases as  $\kappa_p$  decreases (Fig. 3a), eqn (13) also indicates that  $R_{\text{min}}^{3D}$  increases as  $\kappa_p$  decreases, as shown in Fig. 5. In other words, larger sizes are required for cell uptake of softer particles. This is consistent with our previous studies on the phase diagrams of cell uptake of elastic nanoparticles,<sup>15,21,22</sup> where it was shown that cell uptake of softer nanoparticles requires larger normalized

Table 1 Physical constants adopted in our calculations

$\kappa_m (k_B T)$	$D (\mu\text{m}^2 \text{s}^{-1})$	$e_{RL}$	$\xi_L (\mu\text{m}^{-2})$	$\bar{\xi} = \xi_0/\xi_L$
20	0.1	15	$5 \times 10^3$	0.025

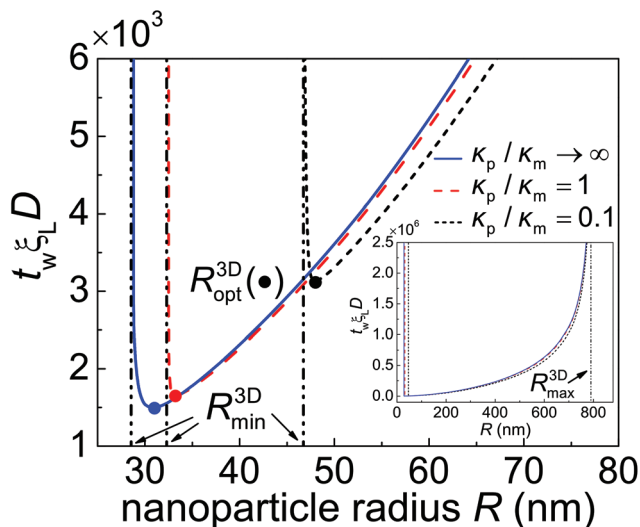


Fig. 5 The normalized total wrapping time  $t_w^{\xi}D$  as a function of the nanoparticle radius  $R$  for different values of the particle–membrane stiffness ratio  $\kappa_p/\kappa_m$  at  $\bar{\sigma} = 0.5$  and  $\bar{\xi} = 0.025$ .  $R_{\min}^{3D}$  and  $R_{\max}^{3D}$  (inset) represent the minimum and maximum radii of a particle that can be wrapped according to eqn (13) and (14), respectively.  $R_{\text{opt}}^{3D}$ , marked by solid circles, denotes the optimal wrapping radius at minimum wrapping time.

adhesion energy  $\bar{\gamma} \equiv 2\gamma R^2/\kappa_m \sim R$  at a given normalized membrane tension  $\bar{\sigma} \equiv 2\sigma R^2/\kappa_m$ .

Limited by the number of receptors ( $\pi L^2 \xi_0$ ) available to bind ligands ( $4\pi R^2 \xi_L$ ) on the nanoparticle, the maximum wrapping radius  $R_{\max}^{3D}$  is

$$R_{\max}^{3D}(\bar{\xi}) = \sqrt{\bar{\xi}L/2}. \quad (14)$$

As indicated in eqn (13) and (14), the size range of wrapping particles ( $R_{\min}^{3D}$ ,  $R_{\max}^{3D}$ ) becomes broader as  $\bar{\xi}$  increases. By comparing eqn (13) and (14), one can immediately see that full wrapping cannot take place unless the receptor density ratio  $\bar{\xi}$  exceeds a critical value  $\bar{\xi}_c$  which can be determined from  $R_{\min}^{3D}(\bar{\xi}_c) = R_{\max}^{3D}(\bar{\xi}_c)$ .

Fig. 5 shows the normalized total wrapping time  $t_w^{\xi}D$  as a function of the nanoparticle radius  $R$  for different values of the particle–membrane stiffness ratio  $\kappa_p/\kappa_m$  at  $\bar{\sigma} = 0.5$  and  $\bar{\xi} = 0.025$ . As  $\kappa_p/\kappa_m$  decreases, so does  $t_w$  for  $R > R_{\text{opt}}^{3D}$ . The ratio of  $t_w$  between a soft particle with  $\kappa_p/\kappa_m = 0.1$  and a rigid one is about 0.9. Further numerical analysis indicates that the time ratio decreases as  $\bar{\xi}$  increases. This stiffness-dependent kinetic effect on wrapping is due to the deformation of elastic nanoparticles and could be understood as follows. In general, the rate of wrapping mediated by receptor diffusion is proportional to the length of the contact edge. Since a softer nanoparticle is deformed into a more flattened configuration with a larger  $r_c$  (Fig. 3b) as well as a longer contact circumference in a wide range of  $f$ , there is little surprise that it also undergoes a faster wrapping process compared to a stiffer particle. A stiffer particle has smaller  $R_{\min}^{3D}$  and  $R_{\text{opt}}^{3D}$ . As shown in Fig. 5 and S1 in the ESI† the difference between  $R_{\min}^{3D}$  and

$R_{\text{opt}}^{3D}$  is around 1 nm to 3 nm. Moreover, for a nanoparticle of given stiffness, there is a range from  $R_{\min}^{3D}$  to  $R < R_{\text{opt}}^{3D}$  in which the wrapping time  $t_w$  of that particle is larger than  $t_w$  of a softer nanoparticle.

As predicted by eqn (13) and (14), the wrapping process cannot be completed for nanoparticles with radius  $R < R_{\min}^{3D}$  or  $R > R_{\max}^{3D}$ . There is an optimal particle radius  $R_{\text{opt}}^{3D}$ , which is slightly larger than  $R_{\min}^{3D}$ , at which the total wrapping time  $t_w$  is the smallest. The optimal nanoparticle size stems from the competition between thermodynamic driving force and receptor diffusion kinetics. For nanoparticles of radius smaller than  $R_{\text{opt}}^{3D}$ , the elastic deformation energy of the system plays a dominant role in reducing the driving force for wrapping and leads to an increased wrapping time. For nanoparticles of radius larger than  $R_{\text{opt}}^{3D}$ , the thermodynamic driving force for wrapping is only weakly related to the elastic energy of the system. In this situation, wrapping a larger nanoparticle requires more receptors to diffuse to a larger binding region, hence a longer wrapping time is induced (Fig. 5). These observations are all qualitatively consistent with the previous kinetic wrapping model for a rigid nanoparticle.<sup>2</sup> To explore the effects of binding energy on  $t_w$ , numerical calculations at  $e_{RL} = 10$  and 25 are carried out and presented in Fig. S1† A comparison between Fig. 5 and S1 in the ESI† shows that a larger binding energy leads to smaller  $R_{\min}^{3D}$ ,  $R_{\text{opt}}^{3D}$  and smaller optimal total wrapping time. Further numerical analysis indicates that both the optimal nanoparticle radius and optimal total wrapping time decrease as  $\bar{\xi}$  increases, since larger  $\bar{\xi}$  means relatively stronger effective adhesion energy and less receptors are required to diffuse toward the wrapped nanoparticle.<sup>2</sup>

Note that our calculations on the total wrapping time  $t_w$  for different values of the particle–membrane stiffness ratio  $\kappa_p/\kappa_m$  and particle radius  $R$  are performed at the same normalized membrane tension  $\bar{\sigma}(\equiv 2\sigma R^2/\kappa_m)$  instead of a varying membrane tension  $\sigma$ . To explore the possible effects of membrane tension on  $t_w$ , numerical calculations at  $\bar{\sigma}$  are carried out (see Fig. S2†). A comparison between Fig. 5 and S2† shows that a larger membrane tension leads to a larger  $R_{\min}^{3D}$ . This is consistent with eqn (13), since  $\max\{dE_{el}/df\}$  increases as the membrane tension increases.<sup>21</sup> It is also demonstrated that the membrane tension plays a negligible role in the control of cell uptake rate for  $R > R_{\text{opt}}^{3D}$ , with indistinguishable influence on  $R_{\max}^{3D}$ , as suggested in eqn (14).

To demonstrate that high-affinity receptor–ligand binding facilitates particle wrapping by the deformed cell membrane, we performed case studies on the wrapping of two-dimensional particles through stochastic receptor–ligand binding at different particle–membrane stiffness ratios  $\kappa_p/\kappa_m$  at  $\bar{\sigma} = 0.5$ . In each study, a particle with radius  $R = 64$  nm is uniformly coated with 80 ligands, the ratio between the reference association rate and spontaneous dissociation rate is  $k_{\text{on}}^0/k_{\text{off}}^0 = 500$ , and the bending stiffness of the membrane is considered to be  $\kappa_m = 20 k_B T$ . Fig. 6 shows the trajectories of closed bonds during particle wrapping. The results indicate that particles of different rigidities could be fully wrapped through binding reactions between ligands and receptors. Moreover, a stiffer

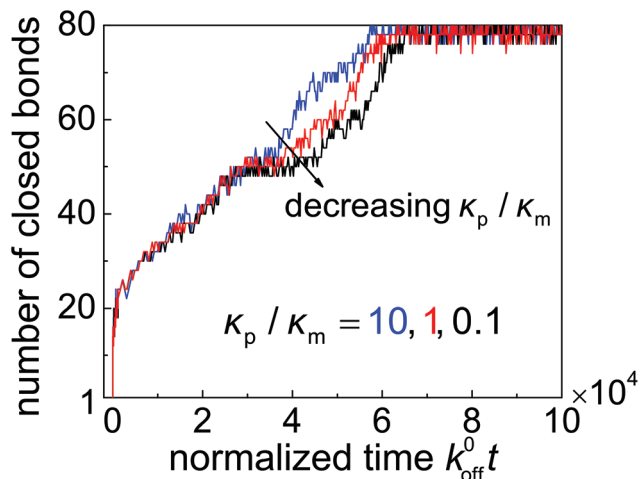


Fig. 6 Number of closed bonds as a function of the normalized wrapping time  $k_{\text{off}}^0 t$  for different values of the nanoparticle–membrane stiffness ratio  $\kappa_p/\kappa_m$  at  $\bar{\sigma} = 0.5$ .

particle achieves full internalization slightly faster than a softer particle in the wrapping process regulated by stochastic binding between immobile receptors and ligands. The underlying reason can be understood as follows. During the wrapping process, the distance near the contact edge between the surface of a softer particle and cell membrane is larger than that in the case of a stiffer particle. Therefore, the (re)binding rate is lower and dissociation rate is higher in the uptake of a softer particle, which in turn results in slower full internalization for the softer particle. In the diffusion-limited uptake under consideration, the uptake rate is dominated by receptor diffusion and the softer particle undergoes faster entry, as indicated in Fig. 5. Details of the stochastic modeling can be found in subsection 2.4.

## 4 Discussion

Our theoretical analysis indicates that the rate of receptor-mediated endocytosis depends on the nanoparticle stiffness, in particular, while soft nanoparticles are energetically less prone to wrapping than stiff ones,<sup>15,21,22</sup> they exhibit higher uptake rates once the wrapping becomes energetically favorable. Since similar deformation configurations and elastic energy profiles are observed in the wrapping of solid nanocapsules,<sup>22</sup> the same conclusions are expected to be also applicable for soft solid nanocapsules. These results can help understand some of the confusing and seemingly conflicting experimental observations in the literature. In particular, the stiffness-dependent rate of cell uptake is consistent with recent experimental observations<sup>27–29</sup> and molecular dynamics simulations,<sup>31</sup> in which it is reported that hydrogel nanoparticles,<sup>27</sup> polymer microcapsules<sup>28,29</sup> and vesicular nanoparticles<sup>31</sup> undergo faster cell entry with decreasing particle stiffness. As the adhesive receptor–ligand interaction used in molecular dynamics simulations is typically based on instant

reaction potentials such as the Lennard-Jones potential without the kinetic effect of receptor–ligand binding,<sup>10,11,31</sup> it can be expected that our theoretical analysis on the uptake of elastic particle is consistent with molecular dynamics simulations.<sup>31</sup> Since a softer particle can be more easily deformed into a flattened configuration in the early- and mid-stage of wrapping by the cell membrane,<sup>21,22</sup> the enhanced cell–particle interaction causes the percentage of cells bound with softer particles to be higher than that with stiffer ones during the early incubation period.<sup>29</sup>

In our previous<sup>21</sup> and present theoretical analysis, the internalized elastic nanoparticle is modeled as an elastic vesicular nanoparticle (e.g., liposome) to facilitate the simplest possible description of particle deformation in the wrapping process, and the mobility of ligands embedded in the vesicular membrane is not taken into account. This approximation is not unreasonable in the case of high ligand surface density and low fluidity of liposomal membranes. In the case of relatively low ligand concentration and high membrane fluidity, the mobility of ligands plays an important role and could even dominate the wrapping process.<sup>31,51,52</sup> Recent molecular dynamics simulations demonstrate that at a low surface density the ligand molecules would diffuse and finally aggregate into a single binding domain full of receptor–ligand bonds. Consequently, the formation of a ligand-free domain leads to an incomplete cell uptake.<sup>31,52</sup> In comparison with liposomes, polymersomes are a class of artificial polymer vesicles that exhibit similar bending properties to lipid vesicles but are of orders of magnitude higher membrane lysis tension and larger membrane viscosity.<sup>53</sup> Therefore, the ligands on the polymersomes could be regarded as immobile during the uptake and polymersomes (coated with immobilized ligands) can serve as an ideal example of soft particles considered in our model.

As indicated in our theoretical analysis, the stiffness effect on the cell uptake rate of spherical nanoparticles is mainly due to the different contact circumferences for different particle stiffness. A long contact circumference enhances receptor diffusion and promotes efficient full internalization. In a two-dimensional case where an elastic cylindrical nanoparticle is wrapped by the cell membrane, the length of contact edge is independent of the particle stiffness, suggesting that the uptake rate of two-dimensional particles should be stiffness-insensitive if the state of full wrapping is defined as  $f = 1$ . This is indeed confirmed in Fig. S3a.† If the full wrapping is defined as a state where the left and right sides of the cell membrane touch each other above the nanoparticle, the wrapping of a stiff nanoparticle would be faster than a soft one due to a shorter wrapping length required for the full wrapping (Fig. S4b†).

Besides the stiffness effect on the rate of cell uptake, our previous studies based on the free energy show that softer nanoparticles require stronger adhesion energy to achieve successful internalization,<sup>15,21,22</sup> which means that soft nanoparticles are energetically less prone to full wrapping than stiff ones. Here the uptake proneness is used to characterize the tendency of an elastic nanoparticle to be fully internalized.<sup>15,21,22</sup>

Other properties remaining the same, soft particles are less likely to enter a cell than stiff ones. In this sense, uptake proneness is a concept associated with free energy and cannot be employed to describe time related quantities such as the wrapping time. In Fig. 5, S2 and S4,<sup>†</sup> the minimum wrapping radius falls into the category of uptake proneness; while the wrapping time is associated with the uptake rate.

## 5 Conclusions

A theoretical model of receptor-mediated endocytosis limited by receptor diffusion has been developed to describe the kinetic process of a cell membrane wrapping around an elastic nanoparticle *via* diffusional aggregation of receptors in the membrane to the cell-particle binding site. A key assumption in the model is that the membrane-nanoparticle system is able to instantaneously reach its equilibrium (*i.e.* minimum energy) configuration at the time scale of receptor diffusion during the wrapping process. The most important result is that, while soft nanoparticles are energetically less prone to wrapping than stiff ones, they exhibit higher uptake rates once the wrapping becomes energetically favorable.

It was shown that the higher uptake rate of a softer nanoparticle results from enhanced receptor diffusion as a result of larger contact area between the membrane and particle at the early- and mid-stages of the wrapping process. There is an optimal particle size corresponding to the shortest wrapping time. Both the optimal particle radius and the wrapping time decrease as the receptor-to-ligand density ratio  $\xi$  increases. The minimum particle radius required for full internalization increases as the particle stiffness decreases; while the maximum particle radius that still allows full wrapping is insensitive to particle stiffness. Further calculations indicated that membrane tension plays an important role in the control of minimum particle radius but has a negligible effect on the wrapping rate. Unlike the uptake of spherical nanoparticles where softer particles lead to a faster internalization rate, cylindrical soft nanoparticles exhibit either the same or lower uptake rates compared with stiff ones, depending on the definition of full wrapping. Two-dimensional case studies on particle wrapping induced by stochastic receptor-ligand association/dissociation confirm that receptor-ligand bond formation could enable the wrapping of an elastic particle by a deformed membrane. These results indicate that tailoring particle elasticity can be an appealing way to control cell uptake.

In the present study, we have focused our attention on the kinetic process of a cell membrane wrapping around an elastic particle that is limited by the diffusion of receptors in the membrane. In a general case of cell uptake, receptor diffusion and receptor-ligand binding could play equally important roles in the uptake kinetics. Future work will be aimed at developing a more sophisticated theoretical modeling approach considering the coupling of receptor diffusion, system deformation, thermal fluctuation of the cell membrane and stochastic binding. Our current analysis can also serve as

a foundation for future studies taking into account non-specific interactions between the cell membrane and nanoparticles,<sup>18</sup> cell membrane roughness induced by thermal fluctuation,<sup>54</sup> shape effects of nanoparticles,<sup>6,8,9,13</sup> motility of ligands in vesicular nanoparticles,<sup>31,51</sup> and other endocytic pathways<sup>24,26,55</sup> such as phagocytosis in which actin re-configuration plays an important role during the uptake.

## Acknowledgements

This work was supported by the National Science Foundation (Grants CBET-1344097 and CMMI-1562904).

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## Supporting Information for “Kinetics of receptor-mediated endocytosis of elastic nanoparticles”

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### Numerical results in the case of spherical elastic nanoparticles

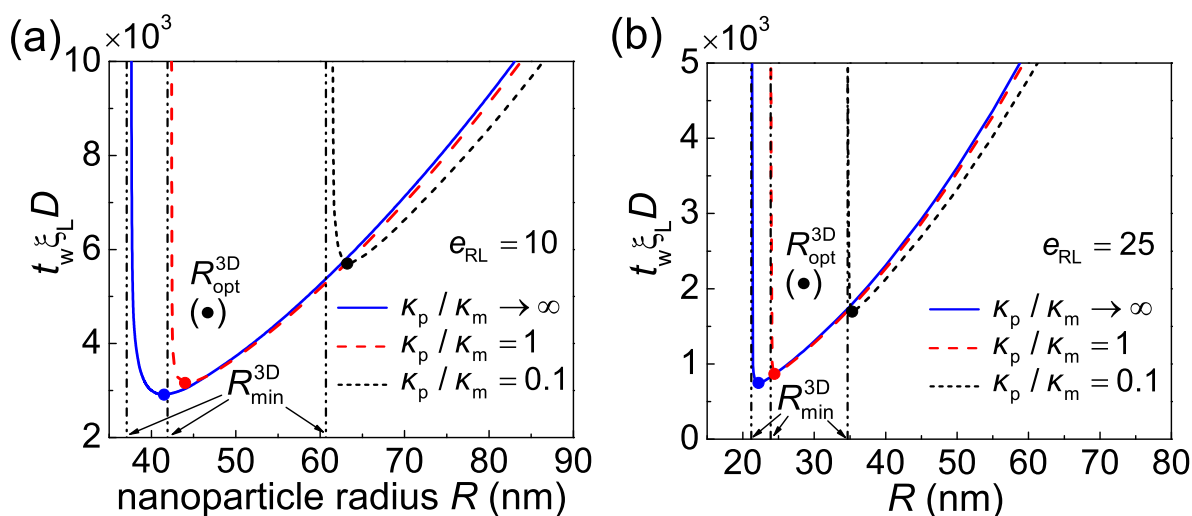


Figure S1: The normalized total wrapping time  $t_w \xi_L D$  as a function of the nanoparticle radius  $R$  for different values of the particle-membrane stiffness ratio  $\kappa_p / \kappa_m$  at the binding energy per receptor-ligand bond of (a)  $10 k_B T$  ( $e_{RL} = 10$ ) and (b)  $25 k_B T$  ( $e_{RL} = 25$ ). Other system parameters are  $\xi = 0.025$ ,  $\xi_L = 5 \times 10^3 / \mu\text{m}^2$ ,  $\bar{\sigma} = 0.5$  and  $L = 10 \mu\text{m}$ , which are the same as those used in Fig. 5 in the main text.  $R_{\min}^{3D}$  and  $R_{\text{opt}}^{3D}$  (marked by solid circles) represent the minimum and optimal wrapping radii of a particle.

Comparing Fig. S1 at the binding energy per receptor-ligand bond of  $10 k_B T$  ( $e_{RL} = 10$ ) and  $25 k_B T$  ( $e_{RL} = 25$ ) with the results in Fig. 5 at  $e_{RL} = 15$ , one could see that a larger binding energy leads to a smaller optimal wrapping radius  $R_{\text{opt}}^{3D}$  and smaller optimal total wrapping time, since less receptors are required to diffuse toward the wrapped nanoparticle. Moreover, a larger binding energy leads to a smaller  $R_{\min}^{3D}$ , which is consistent with eqn (13).

Fig. S2 shows how the normalized total wrapping time  $t_w \xi_L D$  depends on the size and stiffness of a nanoparticle at the normalized membrane tension  $\bar{\sigma} = 0$  and 2. Comparison with the corresponding results in Fig. 5 for  $\bar{\sigma} = 0.5$  indicates that a larger membrane tension leads to a larger  $R_{\min}^{3D}$ . This is consistent with eqn (13), since  $\max\{dE_{el}/df\}$  increases as the membrane tension increases.<sup>3</sup> It can also be seen that the membrane tension plays a negligible role in the cell uptake rate for at  $R > R_{\text{opt}}^{3D}$ .

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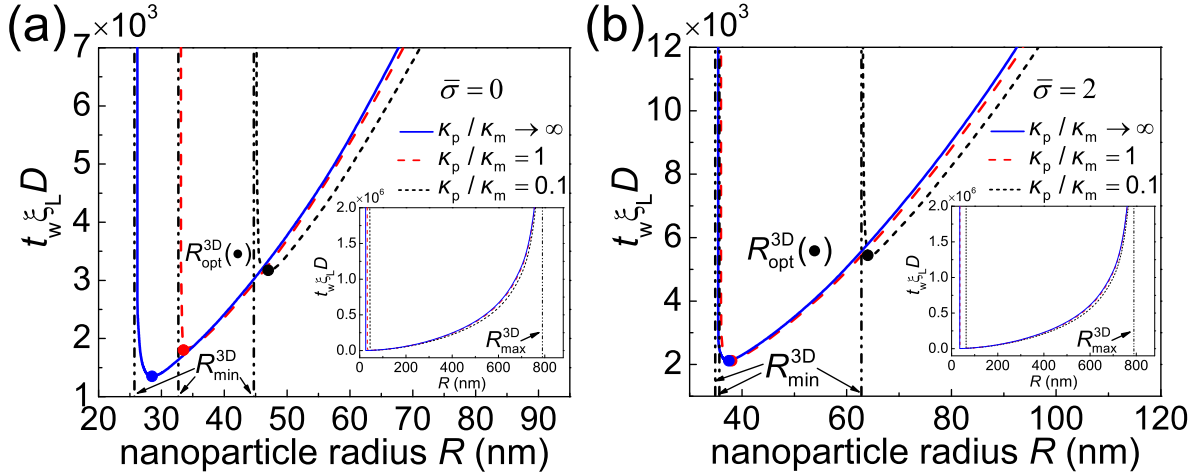


Figure S2: The normalized total wrapping time  $t_w \xi_L D$  as a function of the nanoparticle radius  $R$  for different values of the particle-membrane stiffness ratio  $\kappa_p / \kappa_m$  at (a) zero membrane tension ( $\bar{\sigma} = 0$ ) and (b)  $\bar{\sigma} = 2$ . Other system parameters are  $\bar{\xi} = 0.025$ ,  $\xi_L = 5 \times 10^3 / \mu\text{m}^2$  and  $L = 10 \mu\text{m}$ .  $R_{\text{max}}^{\text{3D}}$  (inset) represents the maximum allowable radius for wrapping, which is about 790 nm here.

### Kinetics of receptor-mediated endocytosis of cylindrical elastic nanoparticles

In the main text, we have analyzed the kinetics of receptor-mediated endocytosis of spherical elastic nanoparticles. Here we consider an infinitely long cylindrical elastic nanoparticle of radius  $R$  wrapped around by an initially flat cell membrane with in-plane length of  $2L (\gg R)$ . The cylindrical nanoparticle is uniformly coated with immobile ligands at a density of  $\xi_L$  and the receptors in the cell membrane initially obey a uniform distribution at a density of  $\xi_0 (\ll \xi_L)$ . A symmetric configuration is assumed in this two-dimensional (2D) analysis. To model the receptor-mediated endocytosis of such a cylindrical elastic nanoparticle, the same theoretical framework adopted in the main text for the case of an elastic spherical nanoparticle is employed here. The receptor conservation condition requires

$$\frac{\partial}{\partial t} \left[ \int_0^{a(t)} \xi_L ds + \int_{a(t)}^L \xi(s, t) ds \right] = 0, \quad (\text{S1})$$

where  $a(t)$  is the half-width of the contact region and  $s$  is the arclength of the cell membrane. Substituting the continuity equation

$$\frac{\partial \xi}{\partial t} = -\frac{\partial j}{\partial s} \quad (\text{S2})$$

into eqn (S1) while noting that the total arclength of the cell membrane is fixed and  $j = 0$  at the remote boundary yields

$$\pi R (\xi_L - \xi_+) \frac{df}{dt} + j_+ = 0, \quad (\text{S3})$$

where  $f \equiv a / (\pi R) \in [0, 1]$  is the wrapping degree.

Substitution of the kinetic relation  $j = -D \partial \xi / \partial s$  into the continuity eqn (S2) yields the governing equation for the diffusion of receptors on the outer free membrane,

$$\frac{\partial \xi(s, t)}{\partial t} = D \frac{\partial^2 \xi(s, t)}{\partial s^2}, \quad a(t) < s \leq L. \quad (\text{S4})$$

Different from the case of spherical nanoparticles in which the diffusion equation (eqn 6) as well as boundary condition (eqn 4) depends on the  $r$ -coordinate of the cell membrane, the diffusion eqn (S4) in the 2D case is independent of  $r$ -coordinate and only depends on the arclength  $s$  along the membrane.

The total free energy  $F(t)$  of the system is given as

$$F(t) = 2 \times \left[ \int_0^{a(t)} \xi_L k_B T \left( -e_{RL} + \ln \frac{\xi_L}{\xi_0} \right) ds + \int_{a(t)}^L \xi k_B T \ln \frac{\xi}{\xi_0} ds \right] + E_{el}, \quad (S5)$$

where  $E_{el}$  is the elastic deformation of the cell membrane and the deformed nanoparticle<sup>3,4</sup> and its prefactor 2 of the square bracket in eqn (S5) stems from equal energy contributions from the right- and left-hand sides of the system.

Differentiation of  $F(t)$  in eqn (S5) with respect to  $t$  and consideration of power balance lead to

$$k_B T \xi_L \left( e_{RL} + \ln \frac{\xi_+}{\xi_L} + 1 - \frac{\xi_+}{\xi_L} \right) - \frac{1}{2\pi R} \frac{dE_{el}}{df} = 0. \quad (S6)$$

At the initial contact state ( $t = t_0$ ), the membrane can be regarded approximately as a flat membrane of an infinite size and the receptor density is given as<sup>1,2</sup>

$$\xi(s, t_0) = \xi_0 + A_{2D} \text{Erfc} \left( \frac{s}{2\sqrt{Dt_0}} \right), \quad (S7)$$

where  $\text{Erfc}(x)$  is the complementary error function of  $x$ , and  $A_{2D}$  is a constant of integration. This solution satisfies the diffusion equation and the boundary condition  $\xi(s, 0) = \xi_0$  and  $\xi(s, t_0) \rightarrow \xi_0$ ,  $j(s, t_0) \rightarrow 0$  as  $s \rightarrow \infty$ . Substituting the solution in eqn (S7) into eqn (S3) results in

$$A_{2D} = -\frac{\sqrt{\pi} \alpha e^{\alpha^2} (1 - \bar{\xi})}{1 - g_{2D}} \xi_L, \quad (S8)$$

where  $\bar{\xi} = \xi_0/\xi_L$  and  $g_{2D} = \sqrt{\pi} \alpha e^{\alpha^2} \text{Erfc}(\alpha)$ . It follows that  $\xi_+ = (\bar{\xi} - g_{2D})(1 - g_{2D})^{-1} \xi_L$ . Substituting eqn (S7) and (S8) and  $\xi_+$  into eqn (S6),  $\alpha$  introduced in eqn (S8) can be determined from

$$k_B T \xi_L \left( e_{RL} + \ln \frac{\bar{\xi} - g_{2D}}{1 - g_{2D}} + \frac{1 - \bar{\xi}}{1 - g_{2D}} \right) - \frac{1}{2\pi R} \frac{dE_{el}}{df} = 0. \quad (S9)$$

Once  $\alpha$  is known,  $\xi(s, t_0)$  at the initial stage of contact is given in eqn (S7). Following a procedure similar to that in the case of a spherical elastic nanoparticle, we can determine the wrapping rate  $df/dt$  and the total wrapping time is then obtained as  $t_w = \int_0^1 (df/dt)^{-1} df$ .

Fig. S3 shows the elastic energy derivative  $dE_{el}/df$  as a function of the wrapping degree  $f$  for different values of the particle-membrane stiffness ratio  $\kappa_p/\kappa_m$  at  $\bar{\sigma} = 0.5$ . With the knowledge of the profile of  $dE_{el}/df$  and following the numerical scheme for obtaining  $df(t)/dt$  discussed above, we can obtain  $f(t)$  and the total wrapping time  $t_w$ .

Following the similar corresponding procedure in the main text, the minimum wrapping radius

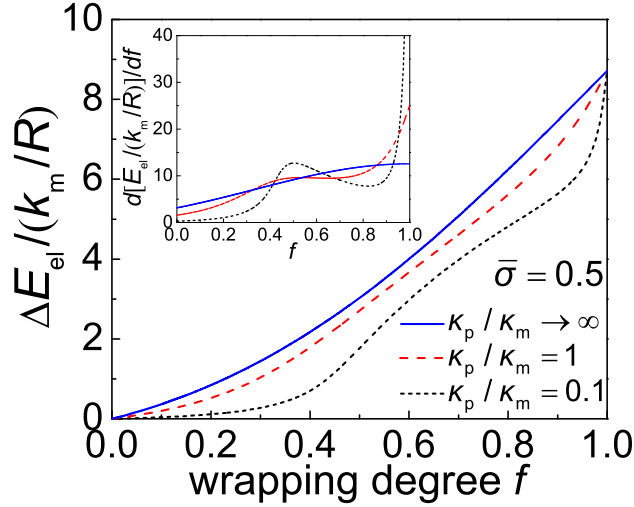


Figure S3: Elastic energy change  $\Delta E_{\text{el}}$  and derivative of the elastic energy  $dE_{\text{el}}/df$  (inset) as functions of the wrapping degree  $f$  for different values of the particle-membrane stiffness ratio  $\kappa_p/\kappa_m$  at  $\bar{\sigma} = 0.5$ . Here  $\Delta E_{\text{el}} = E_{\text{el}} - E_{\text{el}}^0$ , where  $E_{\text{el}}^0 = \pi \kappa_p / R$  is the reference energy of the elastic cylindrical nanoparticle before it contacts the membrane. The wrapping degree is defined as  $f \equiv a/(\pi R)$  and the normalized membrane tension is  $\bar{\sigma} \equiv 2\sigma R^2/\kappa_m$

$R_{\text{min}}^{2\text{D}}$  in the 2D case can be obtained as

$$R_{\text{min}}^{2\text{D}}(\bar{\xi}) = \sqrt{\frac{\max\{d\bar{E}_{\text{el}}/df\}}{2\pi k_{\text{B}} T \bar{\xi}_{\text{L}} (1 + e_{\text{RL}} + \ln \bar{\xi} - \bar{\xi})}} \geq \sqrt{\frac{\max\{d\bar{E}_{\text{el}}/df\}}{2\pi\gamma}}, \quad (\text{S10})$$

where  $\bar{E}_{\text{el}} = E_{\text{el}}R$  and  $\gamma \equiv e_{\text{RL}}k_{\text{B}}T\bar{\xi}_{\text{L}}$  is the effective adhesion energy due to the specific ligand-receptor binding and  $\max\{d\bar{E}_{\text{el}}/df\}$  represents the maximum value of  $d\bar{E}_{\text{el}}/df$ . The equality in eqn (S10) only holds at  $\bar{\xi} = 1$ . The last term in eqn (S10) can be regarded as the minimum nanoparticle radius obtained from the free energy minimization of the total elastic deformation energy and adhesion energy.<sup>3</sup> Therefore, eqn (S10) indicates that  $R_{\text{min}}^{2\text{D}}$  obtained based on the kinetic model of receptor diffusion is slightly larger than that derived from the static energy analysis in which equal density of ligands and mobile receptors and no occurrence of receptor diffusion are explicitly assumed. Since  $\max\{d\bar{E}_{\text{el}}/df\}$  increases as  $\kappa_p$  decreases (Fig. S3), eqn (S10) also indicates that  $R_{\text{min}}^{2\text{D}}$  increases as  $\kappa_p$  decreases, as demonstrated in Fig. S4a. As we analyze in the main text, this is consistent with our previous studies on the effects of particle elasticity on the cell uptake.<sup>3,4</sup>

Limited by the number of receptors ( $2L\xi_0$ ) available to bind ligands ( $2\pi R\xi_{\text{L}}$ ) on the cylindrical nanoparticle, the maximum wrapping radius  $R_{\text{max}}^{2\text{D}}$  is approximated as

$$R_{\text{max}}^{2\text{D}}(\bar{\xi}) = \bar{\xi}L/\pi. \quad (\text{S11})$$

Nanoparticles of radius  $R \in (R_{\text{min}}^{2\text{D}}, R_{\text{max}}^{2\text{D}})$  are capable of entering the cell via receptor-mediated endocytosis. As indicated in eqn (S10) and (S11), the wrapping radius range ( $R_{\text{min}}^{2\text{D}}, R_{\text{max}}^{2\text{D}}$ ) becomes broader as  $\bar{\xi}$  increases. Compared to eqn (13) and (14) in the case of spherical nanoparticles, the wrapping radius range in the 2D case is much narrower at the same value of  $\bar{\xi}$ . Based on these two observations, we choose  $\bar{\xi} = 0.1$  instead of  $\bar{\xi} = 0.025$  in our numerical analysis for the 2D case to

exemplify the profile of  $t_w$  as a function of  $R$  in a broad range (Fig. S4). The critical receptor density ratio  $\xi_c$ , over which full wrapping is possible, can be determined from the relationship  $R_{\min}^{2D}(\xi_c) = R_{\max}^{2D}(\xi_c)$ .

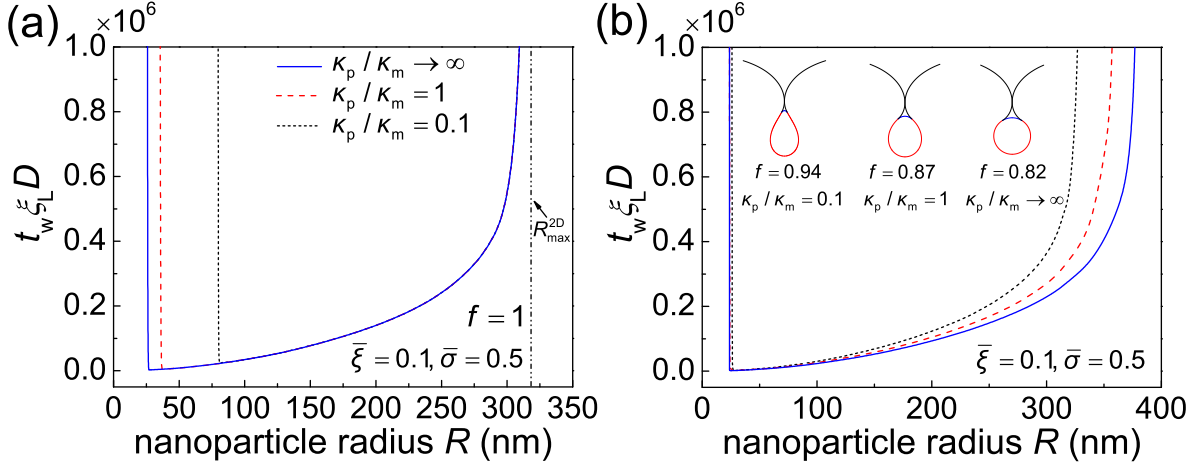


Figure S4: The normalized total wrapping time  $t_w \xi_L D$  as a function of the nanoparticle radius  $R$  for different  $\kappa_p/\kappa_m$  at  $\bar{\sigma} = 0.5$  and  $\bar{\xi} = 0.1$ .  $R_{\max}^{2D}$  represents the maximum wrapping radius in eqn (S11). (a) The full wrapping state is defined at  $f = 1$ . (b) The full wrapping state is defined as the state in which the left and right sides of the cell membrane touch each other on the top of the nanoparticle. Based on the definition (b), softer nanoparticles achieve the full wrapping at a larger  $f$  than stiffer ones, as demonstrated by the inset in (b).

In the case of spherical nanoparticles, the full wrapping state is defined at  $f = 1$ . In contrast, for cylindrical nanoparticles, the wrapping state at  $f = 1$  is unphysical because in that case two opposing parts of the cell membrane will have crossed each other above the nanoparticle. Here we consider two cases with the full wrapping state defined at  $f = 1$  and as the left and right sides of the cell membrane touch each other above the nanoparticle, respectively. Fig. S4 shows the normalized total wrapping time  $t_w \xi_L D$  as a function of the nanoparticle radius  $R$  for different  $\kappa_p/\kappa_m$  at  $\bar{\sigma} = 0.5$ ,  $\bar{\xi} = 0.1$  and  $L = 10 \mu\text{m}$ . The values of other parameters can be found in Table 1 in the main text. For this case with full wrapping defined at  $f = 1$ , the total wrapping time  $t_w$  for different  $\kappa_p/\kappa_m$  is indistinguishable (Fig. S4a). This is reasonable since the rate of receptor diffusion is generally proportional to the length of the contact edge which is independent of  $\kappa_p/\kappa_m$  in this 2D case. In the latter case where a stiffer nanoparticle achieves the full wrapping state at a smaller wrapping degree  $f$ , the stiffer nanoparticle undergoes a faster wrapping process than a softer one due to a shorter wrapping length required for a full wrapping state (Fig. S4b).

## References

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